



ARTICLE

## Effect of Probiotic-Fortified Infant Formula on Infant Gut Health and Microbiota Modulation

Ju Young Eor<sup>1</sup>, Chul Sang Lee<sup>1,2</sup>, Sung Ho Moon<sup>1</sup>, Ju Young Cheon<sup>1</sup>,  
Duleepa Pathiraja<sup>1</sup>, Byeonghyeok Park<sup>1</sup>, Min Jae Shin<sup>1</sup>, Jae-Young Kim<sup>1,2</sup>,  
Sangjong Kim<sup>3</sup>, Youngbae Noh<sup>3</sup>, Yunhan Kim<sup>3</sup>, In-Geol Choi<sup>1,2,\*</sup>,  
and Sae Hun Kim<sup>1,2,\*</sup>

<sup>1</sup>College of Life Sciences and Biotechnology, Korea University, Seoul 02841, Korea

<sup>2</sup>Institute of Life Sciences and Natural Resources, Korea University, Seoul 02841, Korea

<sup>3</sup>Lotte R&D Center, Seoul 07594, Korea

OPEN ACCESS

Received April 11, 2023

Revised June 9, 2023

Accepted June 9, 2023

\*Corresponding author :

In-Geol Choi  
College of Life Sciences and Biotechnology,  
Korea University, Seoul 02841, Korea  
Tel: +82-2-3290-3152  
E-mail: [igchoi@korea.ac.kr](mailto:igchoi@korea.ac.kr)

Sae Hun Kim  
College of Life Sciences and Biotechnology,  
Korea University, Seoul 02841, Korea  
Tel: +82-2-3290-3491  
E-mail: [saehkim@korea.ac.kr](mailto:saehkim@korea.ac.kr)

\*ORCID

Ju Young Eor  
<https://orcid.org/0000-0002-3764-3339>  
Chul Sang Lee  
<https://orcid.org/0000-0001-5371-5366>  
Sung Ho Moon  
<https://orcid.org/0000-0002-4502-3607>  
Ju Young Cheon  
<https://orcid.org/0000-0001-7899-7611>  
Duleepa Pathiraja  
<https://orcid.org/0000-0001-6239-5958>  
Byeonghyeok Park  
<https://orcid.org/0000-0002-8187-7650>  
Min Jae Shin  
<https://orcid.org/0000-0003-1719-4246>  
Jae-Young Kim  
<https://orcid.org/0000-0003-1937-9535>  
Sangjong Kim  
<https://orcid.org/0000-0003-0281-3577>  
Youngbae Noh  
<https://orcid.org/0000-0002-1181-4099>  
Yunhan Kim  
<https://orcid.org/0000-0001-5120-3295>  
In-Geol Choi  
<https://orcid.org/0000-0001-7403-6274>  
Sae Hun Kim  
<https://orcid.org/0000-0002-0990-2268>

**Abstract** Compared to infant formula, breast milk is the best source of nutrition for infants; it not only improves the neonatal intestinal function, but also regulates the immune system and gut microbiota composition. However, probiotic-fortified infant formula may further enhance the infant gut environment by overcoming the limitations of traditional infant formula. We investigated the probiotic formula administration for one month by comparing 118 Korean infants into the following three groups: infants in each group fed with breast milk (50), probiotic formula (35), or placebo formula-fed group (33). Probiotic formula improved stool consistency and defecation frequency compared to placebo formula-fed group. The probiotic formula helped maintaining the level of secretory immunoglobulin A (sIgA), which had remarkably decreased over time in placebo formula-fed infants (compared to weeks 0 and 4). Moreover, probiotic formula decreased the acidity of stool and considerably increased the butyrate concentration. Furthermore, the fecal microbiota of each group was evaluated at weeks 0 and 4. The microbial composition was distinct between each groups, and the abundance of health-promoting bacteria increased in the probiotic formula compared to the placebo formula-fed group. In summary, supplementation of probiotic infant formula can help optimize the infant gut environment, microbial composition, and metabolic activity of the microbiota, mimicking those of breast milk.

**Keywords** probiotics, microbiota, infant formula, short-chain fatty acids, gut health

### Introduction

Infant formula (IF) is defined as an infant diet in a powdered form that mimics human breast milk (HBM), and therefore can be used as a substitute of HBM (FDA, 2019). HBM consists of 87% water, 3.8% fat, 1.0% protein, and 7% lactose (Martin et al., 2016). IF is usually prepared using a bovine milk base, which is known to have higher protein and lower carbohydrate levels than HBM (Wargo, 2016). Carbohydrates

are the major source of energy for humans when converted in glucose by intestinal digestion. Carbohydrates are important because of their necessity in childhood growth (Kalhan and Kilic, 1999). These compositional differences hamper infant growth and lead to the development of certain childhood illnesses such as sore throat, ear pain and skin infections (Boyd et al., 2007). Boué et al. (2018) suggested that HBM oligosaccharides might lower the risk of developing gastrointestinal (GI) infections and respiratory tract infections by inhibiting the adherence of pathogens to the gut mucosa. Neurodevelopment is also enhanced by HBM feeding, possibly by DHA transmission, contributing to structural changes in the brain through FADS2 gene regulation (Horta and Victora, 2013). Fortification of HBM using IF, improved growth parameters such as weight gain and head growth in low-birth-weight infants (Gupta et al., 2020).

To narrow the compositional and functional gap between HBM and IF, IF fortification has been implemented by supplementation with additives such as micronutrients, prebiotics, and probiotics. Consumption of iron-containing fortified milk for a year reduced anemia and iron deficiency in 12-to 30-month-old children (Brown Kenneth et al., 2007). Consumption of IF supplemented with prebiotics, galactooligosaccharides, and fructooligosaccharides, increased bifidobacterial colonization in the infant GI tract (Borewicz et al., 2019). *Bifidobacterium* is a predominant bacterium found in HBM-fed infants and confers protection to the host against pathogens by enhancing mucosal immune system functions (Makino, 2018). Probiotic-enriched IF, containing *Bifidobacterium animalis*, altered the gut microbiota (GM) pattern, fecal IgA content, and stool pH to resemble those in HBM-fed infants (Radke et al., 2017).

The GM, which is a complex microbial community of bacteria, archaea, fungi, viruses, and phages living in the gut, reportedly controls various host pathways (Derrien et al., 2019). Infant GM regulates infant metabolism, nutrient absorption, immune system maturation, and pathogen colonization (Belkaid and Hand, 2014). The development of GM is shaped by exterior factors such as diet, environment, and lifestyle; therefore, there exists a unique gut microbial composition specific to each individual (Derrien et al., 2019).

Infant diets contribute to the formation of distinct GM composition. HBM feeding promotes the growth of a bifidobacteria-dominated gut microbiome, which exerts a major effect on immune functions (Bäckhed et al., 2015; Stewart et al., 2018; Vandenplas et al., 2020). IF feeding results in the formation of a GM highly diverse but unstable, with overrepresentation of *Clostridium difficile* (Azad et al., 2013; Jost et al., 2015; Tannock et al., 2013). To mimic the HBM-fed gut microbiome and its beneficial functions, attempts of IF probiotic supplementation have been performed. *Lactobacillus rhamnosus* GG supplementation in HBM or IF for a month in eight- to ten-month-old infants lowers the proportion of *Clostridium histolyticum* type bacteria to the total bacterial count (Pärtty et al., 2013). *C. histolyticum* increases intestinal dysbiosis, which can regulate GI peptide production correlated with satiety, and subsequently increases food intake and the risk of developing obesity (Gomes et al., 2018). *Bifidobacterium lactis* BB-12 supplementation increases Bifidobacterial abundance, lowers *Enterobacteriaceae* and *Clostridium* spp. counts, and exerts no effect on colonization of antibiotic-resistant organisms (Mohan et al., 2006). The presence of *Enterobacteriaceae* is a hallmark of dysbiosis (Sassone-Corsi et al., 2016), and both *Enterobacteriaceae* and *Clostridium* spp. are frequently isolated in cancer patients (Touchefeu et al., 2014).

However, the results of controlling GM by probiotic supplementation remain controversial. Probiotic supplementation in IF failed to exert any considerable effect on growth, stool consistency, incidence of diarrhea, crying, or vomiting (Mugambi et al., 2012). The European Food Safety Authority (EFSA) states that more scientific evidence is necessary to prove the existence of a relationship between probiotic product consumption and their health-related beneficial effects (Rijkers et al., 2011). Thus, more clinical evidence is warranted to elucidate the effects of probiotic supplementation on infant growth and GM composition.

Therefore, our study aimed to improve infant gut health and growth performance by providing probiotic-fortified infant formula (PFIF) and by determining its effects on infant GM. Infant participants (n=118) were allocated into the following three groups: breast milk-fed group (BF), probiotic-fortified formula-fed group (PF), and placebo formula-fed group (FF). Beginning from 30 days postnatal, each designated diet was provided for a period of 4 weeks, and during this period, fecal samples were collected three times a week. Effects of the diet were determined by analyzing fecal consistency, pH, short-chain fatty acid (SCFA) levels, secretory immunoglobulin A (sIgA) levels, and fecal microbiota composition by next-generation sequencing.

## Materials and Methods

### Infant cohort study

To conduct the present study, participating mothers and infants were recruited from postnatal care centers (Seoul, Korea). The aims, protocol, and outcomes of the present study were explained to all participants, and they were inquired about their willingness to participate. Exclusion criteria were as follows: severe disorder of the liver, heart, respiratory organs, endocrine glands, or metabolism; and use of antibiotic medication at the time of the clinical trial. A total of 118 participants were recruited for this study. The study was approved by the Korea University Institutional Review Board (IRB, 1040548-KU-IRB-17-251-A-2, and KUIRB-2019-0136-01), which is independent of the Lotte Food Written informed consent was obtained from all participants.

### Probiotic-enriched infant formula (IF) preparation

The IF and bacterial strains used in this study were obtained from the Dairy Department of Lotte R&D Center (Seoul, Korea). *L. rhamnosus* GG, *Lactobacillus fermentum* LC40, *Lactobacillus reuteri* DSM 17938, and *B. lactis* BB12 were selected based on their acid, bile tolerance, and safety assays. Strains were cultured in Man Rogosa Sharpe broth (MRS; Difco, Detroit, MI, USA) for 18 h at 37°C. The strains were subcultured three times prior to the conduction of each experiment. All strains were prepared using 50 % (v/v) glycerol as a cryoprotectant and maintained at -80°C until use. Infants consumed approximately  $1.34 \times 10^7$  CFU/mL probiotics in formula without the presence of any other bacterial species.

### Treatment protocol

This randomized, double-blind, placebo-controlled study was performed from January 1, 2018, to June 1, 2020. Randomization was conducted using a computer program, and participants were assigned into the following three groups: BF, PF, and FF. Individual differences in gestational period and infant body weight were minimized among the three groups. Each feeding was practiced for a period of 4 weeks, beginning from 30 days postnatally. Participants received stool containers with a spatula placed inside (SPL Life Science, Pocheon, Korea), together with a sanitary plastic bag, instruction form, and a questionnaire. Participants collected stool samples from the diaper into the collection tube and sent it directly to the microbiology lab (Seoul, Korea) via an express courier. Participants were recommended to collect and send samples early in the morning or late evening to avoid delays.

### Infant weight and height measurement

Both the weight and height of all infants were measured on the following three designated days: 1<sup>st</sup>, day of birth, 2<sup>nd</sup>;

second, 1 month after the birth; and 3<sup>rd</sup>, last day of the experiment. The measurements of both weight and height were conducted in triplicate.

### **Fecal defecation frequency determination and fecal sample collection**

Infant fecal samples were collected by their parents immediately after defecation. Concurrently, the defecation frequency was determined following the instructions provided in a paper format. The samples were collected on three different days in one week. Collected samples were then stored at 80°C until analysis in the microbiology lab (Seoul, Korea).

### **Fecal consistency analysis**

Following the protocol prescribed by the Bristol stool chart, three of our lab members performed fecal consistency analysis. The thawed fecal samples were randomly distributed to all participating members at one time, and the samples were scored.

### **Fecal pH measurement**

Fecal pH was measured to determine whether the treatments could change the acidity of the feces in the gut. The weight of each sample was measured to 1 g prior to the conduction of measurements, and the samples were then placed into 15-mL tubes (SPL Life Science) with saline. The pH of the mixed fecal samples was measured using a pH meter (Agilent Technologies, Santa Clara, CA, USA).

### **Fecal short-chain fatty acid (SCFA) concentration assessment**

Fecal samples were weighed individually in an Eppendorf tube according to the methods reported by Eor et al. (2020); 10 mg fecal samples in Eppendorf tubes were included for analysis individually. The samples were then homogenized after addition of 100  $\mu$ L crotonic acid, 50  $\mu$ L HCl, and 200  $\mu$ L ether. Homogenates were then centrifuged at 1,000 $\times$ g for 10 min. After centrifugation, the top ether layer was transferred to glass vials. The collected ether was then mixed with 16  $\mu$ L N-tert-butyltrimethylsilyl-N-methyltrifluoroacetamide (MTBSTFA), and the vials were sealed with ParafilmM (Sigma-Aldrich, St. Louis, MO, USA). The samples were heated at 80°C for 20 min in a water bath and then incubated for 48 h. The samples were then placed into the 6890N Network GC system (Agilent Technologies, Wilmington, DE, USA) with an HP-5MS column (0.25 mm $\times$ 330 mm $\times$ 30.25 mm) and 5973 Network Mass Selective Detector (Agilent Technologies). Helium (purity 99.9999%) was used as a delivery gas and the carrier gas flow rate was 1.2 mL per min. The head pressure was 97 kPa and split at 20:1. The inlet and temperature of the transfer line were 250°C and 260°C, respectively. The following temperature program was used: 60°C (3 min), 60°C–120°C (5°C/min), and 120°C–300°C (20°C/min). One microliter of the sample was injected (run time: 30 min). SCFA concentrations were then determined by comparing their peak areas with the standards.

### **Fecal secretory immunoglobulin A (s-IgA) analysis**

S-IgA was quantified using the Secretory IgA ELISA kit (ImmuChrom, Heppenheim, Germany). All procedures were performed according to the manufacturer's instructions. Stool samples (100 mg) were mixed with 5 mL of wash buffer on a vortex mixer until a homogenous mixture was obtained. One milliliter of the mixture was then transferred into an "Eppendorf" reaction vial and centrifuged for 10 min at 2,000 $\times$ g. The supernatant was diluted 1:250 with wash buffer (4  $\mu$ L+996  $\mu$ L wash

buffer). One hundred microliters of the dilution was used per well. The sample preparation method was performed for a total of four times for each sample.

### **DNA extraction from fecal samples, library preparation, and sequencing**

To determine the intestinal microbiota composition, genomic DNA (gDNA) was extracted from the stool samples of each group using the QIAamp DNA stool kit (Qiagen, Hilden, Germany) according to the manufacturer's instructions. Briefly, 200 mg of fecal sample was mixed with 1.4 mL ASL buffer in a 2-mL tube and vortexed until the sample was thoroughly homogenized. Samples were subsequently heated for 5 min at 95°C, vortexed for 15 s, and centrifuged at 196,768×g for 1 min to pellet the stool particles. After 1.2 mL of the supernatant was transferred into a new 2-mL tube, 1 InhibitEX Tablet was added, and the tube was vortexed immediately until the tablet was completely suspended. The tube was incubated for 1 min at 25°C, centrifuged for 3 min at full speed, and 200 µL of supernatant was transferred into a new 1.5-mL tube. After 15 µL proteinase K and 200 µL Buffer AL were added and the mixture was vortexed, the tube containing the mixture was incubated at 70°C for 10 min. An additional 200 µL of ethanol was added to the lysate and mixed by vortexing. The complete lysate was transferred to a QIAamp spin column with a 2-mL collection tube and centrifuged for 1 min. 500 µL Buffer AW1 was added, and the column was centrifuged at full speed for 1 min. The collection tube containing the filtrate was then discarded. The same process was conducted with 500 µL of AW2. Finally, the QIAamp spin column was transferred into a new 1.5-mL tube, and 200 µL of Buffer AE was directly pipetted onto the QIAamp membrane. All eluted DNA samples were acquired from the collection tube after 1 min of centrifugation.

Small subunit (16S) ribosomal DNA amplicon sequencing was performed to analyze the microbial community structure. The hyper variable V3-V4 regions of 16S rDNA were amplified using the following universal primer set (forward 5'-CCT-ACG-GGN-GGC-WGC-AG-3', reverse 5'-GAC-TAC-HVG-GGT-ATC-TAA-TCC-3'). The resulting DNA amplicon of ~460 bp was purified using the Agencourt AMPure XP kit (Beckman Coulter, Brea, CA, USA). The second PCR was performed to attach Illumina universal p5/p7 overhang sequences and sample-specific barcodes. A sequencing library of ~550 bp was purified using the Agencourt AMPure XP kit (Beckman Coulter). Sequencing was conducted using the Illumina MiSeq platform (Illumina, San Diego, CA, USA) using the MiSeq Reagent kit V3 (2×300 PE; Illumina, San Diego, CA, USA). Control experiments for DNA extraction, library preparation, and sequencing were performed as follows. The mock DNA library was prepared using the ZymoBiomics microbial community DNA standard (Zymo Research, Irvine, CA, USA) using the DNA amplicon library preparation procedure described above. Negative control was established by following the same DNA extraction procedure without feces. The final elute of the DNA extraction negative control was used as the template for PCR negative control, following the same PCR conditions described above. We confirmed that the samples were not contaminated during DNA extraction or library preparation.

### **Bioinformatics analysis of sequencing data**

Sequencing reads were processed using the DADA2 pipeline (Ver 1.12.1). Reads were trimmed and filtered, paired reads were merged, and chimeric sequences were removed. The SILVA ribosomal RNA reference database (Release 128) was used for taxonomic assignment of the amplicon sequence variants. Data on low-quality samples with <10,000 observed operational taxonomic units (OTUs) were removed from the sample set. Among the 1,984 total OTUs, only 762 OTUs with a total count of more than 100 were considered. The *microbiome* R/Bioconductor package was used to calculate the alpha diversity. The community structure was illustrated using an in-house R script based on the *phyloseq* R/Bioconductor package.

## Statistical analyses

IBM SPSS Statistics software (version 25.0; IBM, Armonk, NY, USA) was used to analyze the data. One-way analysis of variance was used to compare the sample means. Multiple comparisons of means were performed using the Duncan's multiple range test and Tukey's post-hoc test.  $p < 0.05$  was considered statistically significant.

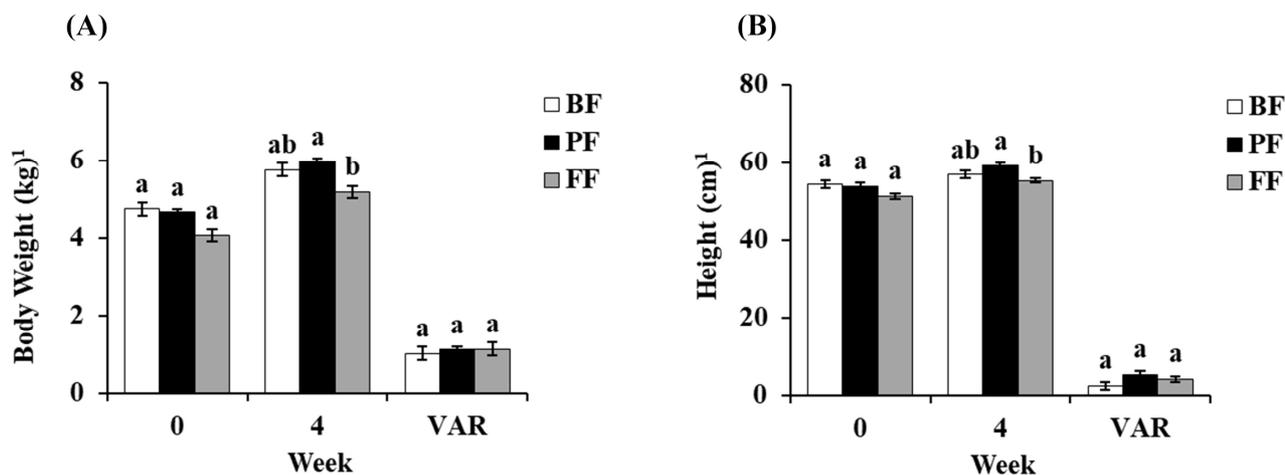
## Results

### Effect of probiotic-fortified infant formula (PFIF) of infant body weight and height

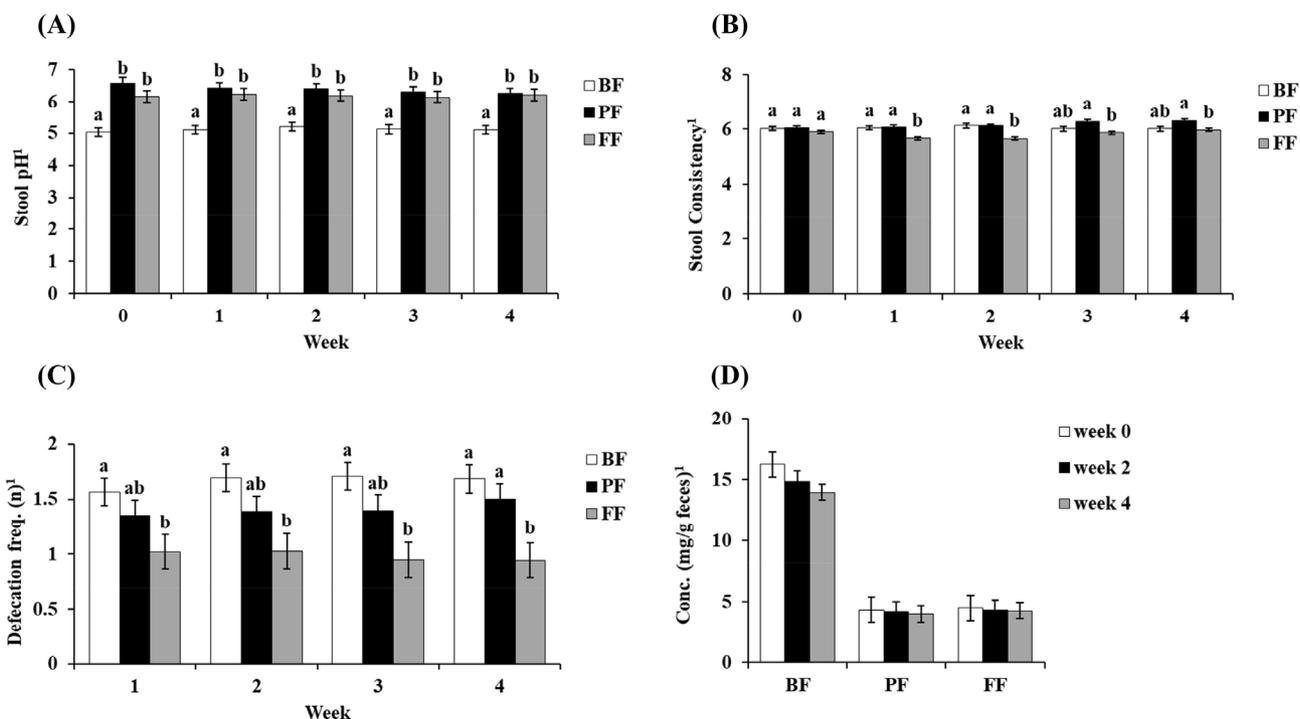
A slight change in body weight was observed after each treatment. The initial body weights of BF, PF, and FF at 0 weeks were 4.74, 4.65, and 4.06 kg. After 4 weeks of treatment, the body weights of three groups were increased to 5.75, 5.97, and 5.18 kg. Although the difference between the treatment periods were 1.01, 1.11, and 1.13 kg. Significantly increased body weight was observed in the 4<sup>th</sup> week of PF treatment compared to FF ( $p < 0.05$ ; Fig. 1A). Moreover, similar to the observations with body weight increase, the heights of PF groups was also significantly higher than FF treated groups ( $p < 0.05$ ), which indicates the increased growth performance of PF group (Fig. 1B). The heights in groups BF, PF, and FF at 0 weeks were 54.4, 53.9, and 51.2 cm. However, in the 4<sup>th</sup> week, the heights were 56.8, 59.1, and 55.3 cm, with a variance (VAR) within 4 weeks showing 2.4, 5.3, and 4.1 cm, respectively.

### Effect of probiotic-fortified infant formula (PFIF) on stool condition

The four types of assessment of stool conditions are illustrated in Fig. 2. First, the results of stool pH analysis showed significantly lower levels in the BF groups compared to other groups ( $p < 0.05$ ; Fig. 2A). Second, stool consistency was analyzed by scoring the condition of the stool samples using the Bristol chart method (Fig. 2B). The results suggested that stool consistency did not considerably changed in the BF, PF, and FF groups throughout the study period. However, the average consistency of FF showed a significantly decreased score rate compared to BF and PF groups since 1<sup>st</sup> week to the study period (Fig. 2B). The frequency of defecation showed the same trend from the 1<sup>st</sup> week to the 3<sup>rd</sup> week. However, the



**Fig. 1.** Effect of PFIF on (A) body weight and (B) height of each infant group throughout the experimental period. Results are expressed as mean $\pm$ SE [n=breast milk (BF): 50; probiotic formula (PF): 35; placebo formula (FF): 33]. <sup>a,b</sup> Lowercase superscript letters denotes significantly different mean values in the same series ( $p < 0.05$ ). VAR, variance; PFIF, probiotic-fortified infant formula.



**Fig. 2.** Effect of PFIF on stool (A) pH, (B) consistency, (C) defecation frequency, and (D) sIgA concentration of each infant group throughout the experimental period. Results are expressed as mean $\pm$ SE [n=breast milk (BF): 50; probiotic formula (PF): 35; placebo formula (FF): 33]. <sup>a,b</sup> Lowercase superscript letters denotes significantly different mean values in the same series ( $p < 0.05$ ). PFIF, probiotic-fortified infant formula; sIgA, secretory immunoglobulin A.

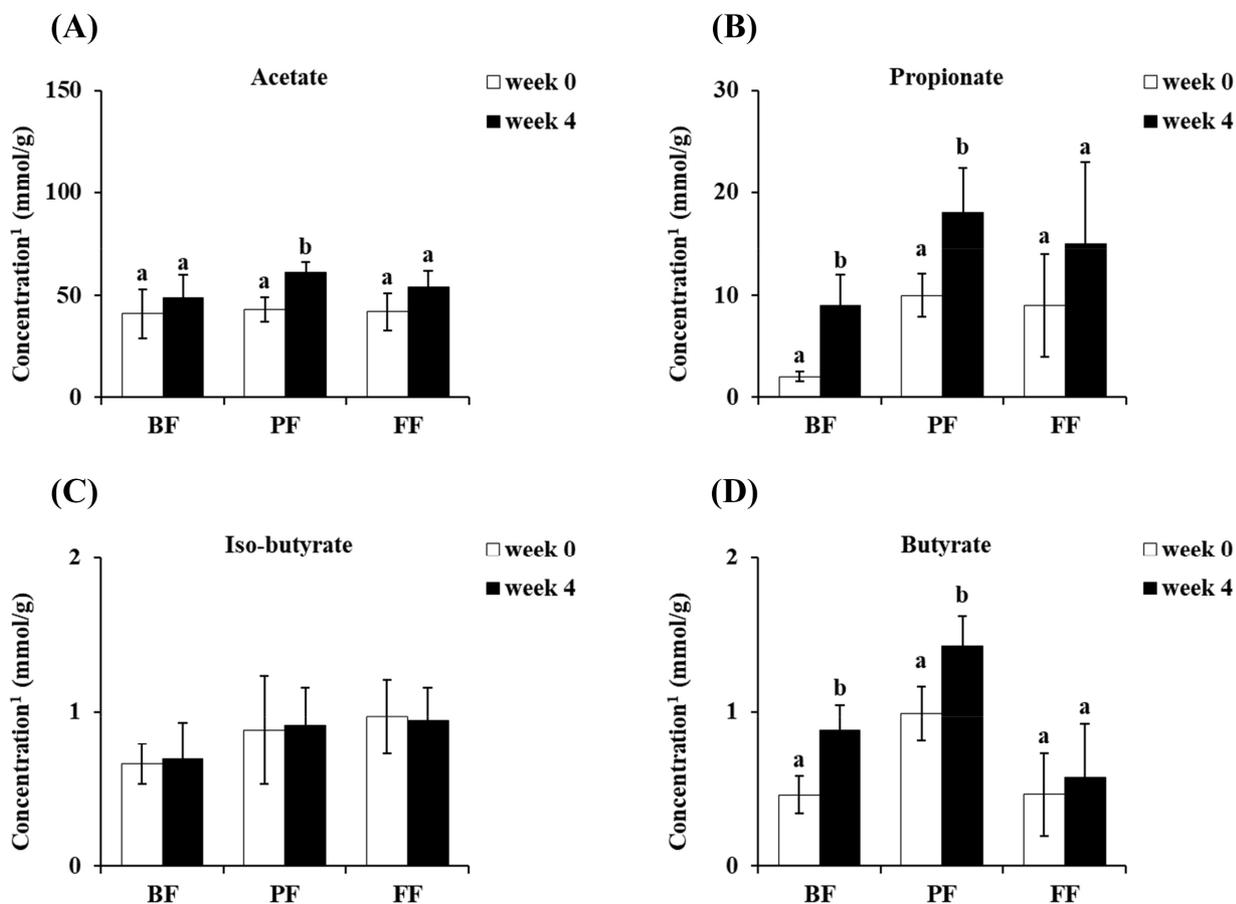
4<sup>th</sup> week results indicated that FF showed a significant decrease defecation frequency score ( $p < 0.05$ ) compared to both the BF and PF groups (Fig. 2C). Finally, the stool IgA levels in each treatment throughout the study period did not show any significant difference (Fig. 2D).

### Effect of probiotic-fortified infant formula (PFIF) on stool short-chain fatty acid (SCFA) concentration

Stool SCFAs were analyzed before and after the conduction of each treatment. The concentration of acetate showed a significant increase only in the PF group ( $p < 0.05$ ; Fig. 3A). Additionally, both the BF and PF groups showed significant increases ( $p < 0.05$ ) in terms of both propionate and butyrate concentration after 4 weeks of treatment (Figs. 3B and D). However, the iso-butyrate concentration showed no considerable difference after 4 weeks of treatment (Fig. 3C).

### Alpha diversity index in fecal microbiota of infants

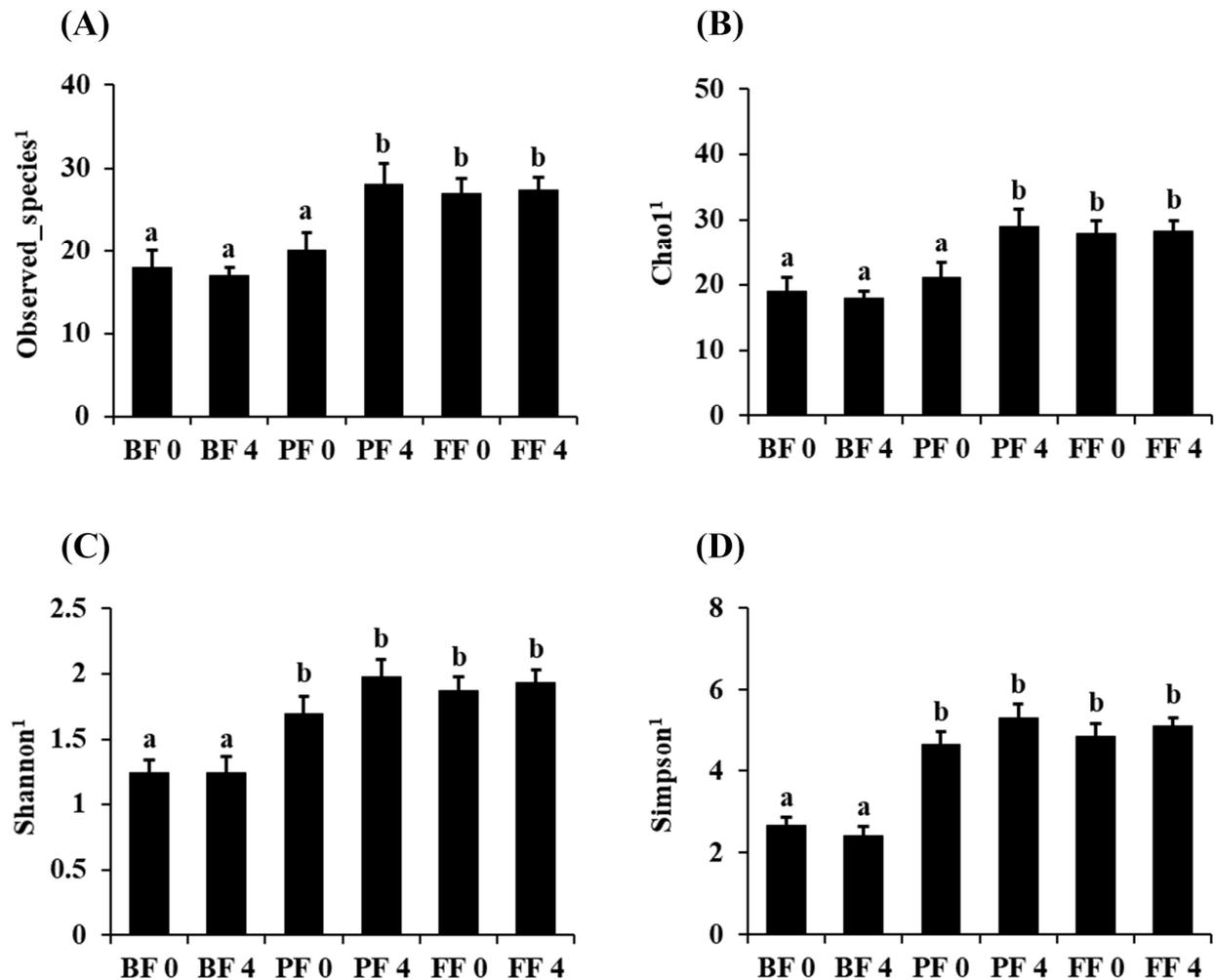
Fecal microbiota alpha diversity was calculated using the observed OTUs, Chao1, Shannon, and Simpson (Fig. 4). Overall, there were remarkable differences between the BF and PF groups after 4 weeks of each treatment in terms of the OTUs (Fig. 4A), Chao1 (Fig. 4B), Shannon (Fig. 4C), and Simpson (Fig. 4D) indices. The alpha diversity changes in each treatment group showed no significant differences between 0 and 4 weeks after treatment, except in the PF group (Figs. 4A and B). Furthermore, the Shannon and Simpson indices indicated significant increased diversity between BF and PF after 4 weeks of treatment ( $p < 0.05$ ; Figs. 4C and D). The results indicate that PF and FF treatment are capable of increasing the diversity of observed species in infant gut.



**Fig. 3.** Effect of PFIF on stool SCFAs; (A) acetate, (B) propionate, (C) iso-butyrate, and (D) butyrate concentration of each infant group throughout the experimental period. Results are expressed as mean $\pm$ SE [n=breast milk (BF): 50; probiotic formula (PF): 35; placebo formula (FF): 33]. <sup>a,b</sup> Lowercase superscript letters denotes significantly different mean values in the same series ( $p < 0.05$ ). PFIF, probiotic-fortified infant formula; SCFA, short-chain fatty acid.

### Microbiome composition changes in fecal samples of infants

At various taxonomic levels, gut microbiome composition was compared between the 0- and 24-week fecal samples in each treatment group. Overall, there were no substantial differences in the microbiome composition changes at various levels in the BF group (Fig. 5). At the phylum level, Firmicutes showed significantly less ( $p < 0.05$ ) abundance in the gut microbiome in the 4-week samples than that in the 0-day samples in the PF and FF groups. However, the abundance of Actinobacteria and Proteobacteria increased after 4 weeks of treatment (Fig. 5A). At the class level, *Bacilli* showed significantly less ( $p < 0.05$ ) abundance in the GM at 4 weeks in the PF and FF groups. However, the relative abundances of Gamma proteobacteria and Actinobacteria increased after 4 weeks (Fig. 5B). At the order level, the abundance of *Lactobacillales* was reduced in the 4-week samples in the PF and FF groups. However, *Enterobacteriales* expanded after 4 weeks of treatment (Fig. 5C). At the family level, *Lactobacillaceae* abundance was reduced after 4 weeks of treatment in the PF and FF groups. However, the relative abundances of *Enterobacteriaceae* and *Bifidobacteriaceae* increased significantly ( $p < 0.05$ ) at 4 weeks (Fig. 5D). At the genus level, *Lactobacillus* abundance decreased after 4 weeks of treatment in the PF and FF groups. Notably, *Streptococcus* abundance reduced in the 4<sup>th</sup> week samples in the FF group. However, *Escherichia*, *Shigella*, and *Bifidobacterium* abundances increased significantly ( $p < 0.05$ ) after 4 weeks of treatment (Fig. 5E).

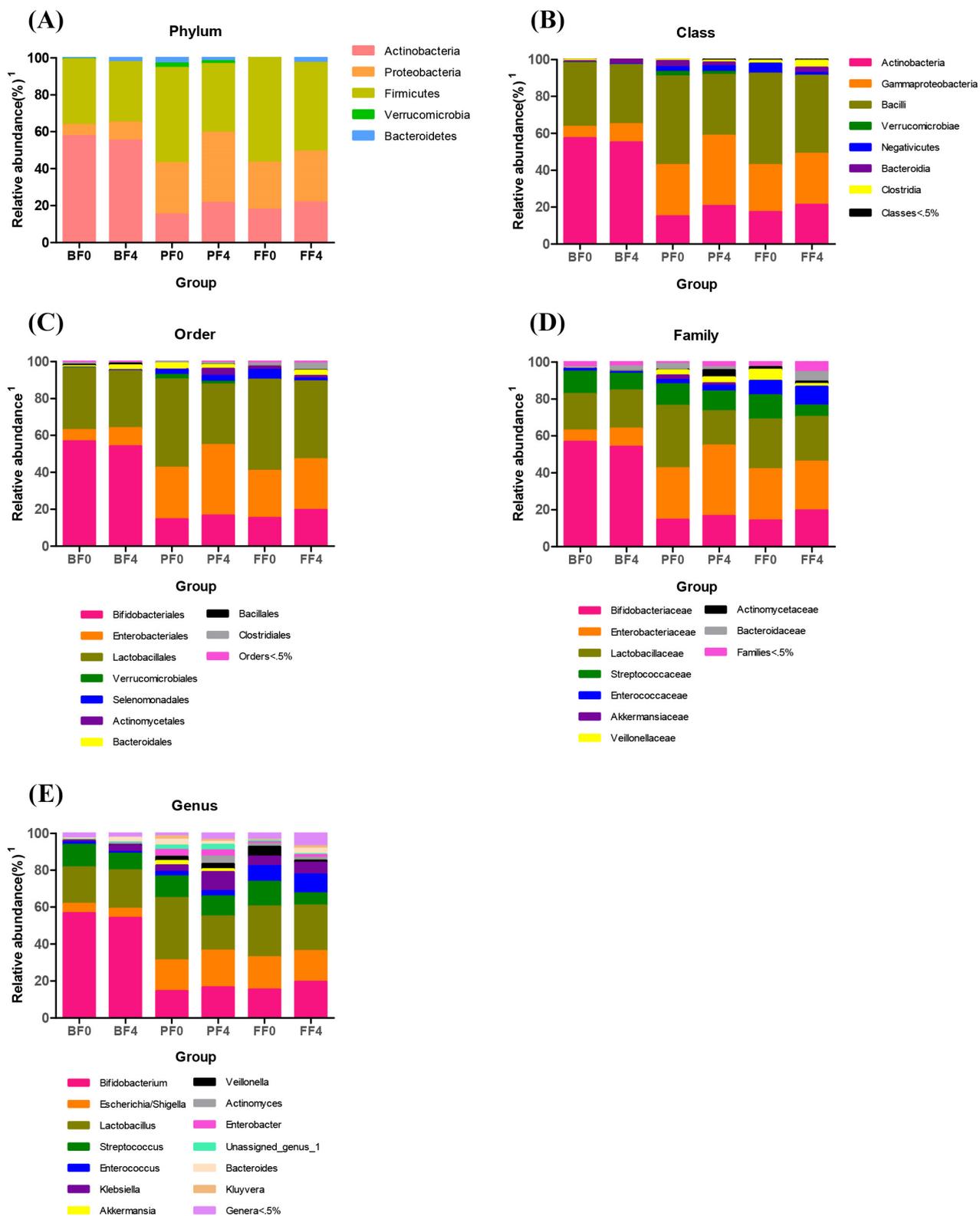


**Fig. 4.** Alpha diversity index changes after 4 weeks of each treatment; (A) observed OTUs, (B) Chao1, (C) Shannon, and (D) Simpson. Results are expressed as mean $\pm$ SE [n=breast milk (BF): 50; probiotic formula (PF): 35; placebo formula (FF): 33]. <sup>a,b</sup> Lowercase superscript letters denotes significantly different mean values in the same series ( $p < 0.05$ ).

## Discussion

A considerable height change in PF and FF was observed in the 4<sup>th</sup> week of treatment. Importantly, the variance of height showed an increase in both PF and FF compared to BF. The PFIF showed remarkable differences in height and weight compared to the BF and FF groups. This result was consistent with the results reported by a previous study conducted by Vendt et al. (2006).

The difference in stool pH shows an alteration in the GI environment, and a lower pH of stool may suggest a more enhanced state of the GI tract occurring due to pathogens (Henrick et al., 2018). Therefore, the BF group reveals the most protective effect conferred against viral infection and demonstrates the effect exerted on the immune system of newborn babies against infection among the group (Moore et al., 2021; Toscano et al., 2017). Stool consistency, which indicates the state of stools expelled by the body, showed a significant difference ( $p < 0.05$ ) occurring since the 1<sup>st</sup> week, and PF restored the decrease and maintained almost the same results as BF. The stool defecation frequency indicates the motility and healthiness of the gut. The results showed a significant difference ( $p < 0.05$ ) occurring since the 1<sup>st</sup> week to the 4<sup>th</sup> week



**Fig. 5.** Effect of each treatment for 4 weeks on fecal microbiota compositional changes in the level of (A) phylum, and (B) genus. Results are relative abundance ratio of each group determined using OTU at the 95% identity level and the data on low-quality samples with <10,000 observed OTUs were removed from the sample set. BF, breast milk; PF, probiotic formula; FF, placebo formula; OUT, operational taxonomic unit.

without critical changes. These results were similar to those reported by previous studies conducted using probiotics and prebiotics, respectively (Vandenplas et al., 2015; Vendt et al., 2006). Stool IgA concentration did not change in each week. These results were similar to those reported by a previous study (Bakker-Zierikzee et al., 2006).

Based on the GM modulation, changes in each level indicated that dietary differences might induce microbiota changes. At the phylum level, we identified the relative abundances of Actinobacteria, Proteobacteria, Firmicutes, Verrucomicrobia, and Bacteroidetes. In our study, the lower abundance of Firmicutes, Verrucomicrobia, Bacteroidetes, and higher abundance of Proteobacteria and Actinobacteria were associated with the treatment effects of probiotics and the formulation. In comparison, data obtained from human and animal studies showed that the abundance of Firmicutes and Actinobacteria increased in obesity models and tended to increase with weight gain. Moreover, the proportions of Bacteroidetes and Verrucomicrobia are decreased in obese subjects and the rate of obesity continues to increase (Chakraborti, 2015; Zhang et al., 2021). However, the results of the present study were different from those of previous studies conducted on body weight and height. Furthermore, the chief butyrate-producing bacteria in the human gut belong to the phylum Firmicutes (Louis and Flint, 2009; Louis and Flint, 2017). Butyrate is a SCFA derived from the microbial fermentation of dietary fibers in the colon and it is recognized for its potential to act on secondary chemoprevention by slowing growth and activating apoptosis in colon cancer cells (Berni Canani et al., 2012). Actinobacteria, Bacteroidetes, and Proteobacteria are potential butyrate producers (Vital et al., 2014). Additionally, apart from butyrate, the production of other SCFAs is mediated by bacterial members belonging to Actinobacteria that produce acetate and lactate during carbohydrate fermentation (Rivière et al., 2016). Also, the mucin-degrading bacteria phylum Verrucomicrobia produces both propionate and acetate (Derrien et al., 2004). In this study, we revealed that the relative abundances of Actinobacteria and Proteobacteria were significantly increased ( $p < 0.05$ ) in the probiotics and formula-fed groups. Our results are consistent with those reported by previous studies. In stool consistency and defecation frequency analyses, several studies have reported that Bacteroidetes to Firmicutes ratio is higher in the subjects with a small amount of defecation than in those with a considerable amount of defecation, and at the genus level, *Bifidobacterium* is less abundant in the subjects with a small amount of defecation (Kwon et al., 2019). Moreover, remarkable increase in the relative abundance of Firmicutes in the gut microbiome of group presenting with loose stool in comparison with the group presenting with normal stool consistency (Bragg et al., 2020). In this study, the results of stool consistency were similar to those reported by previous studies. The stool consistency and stool defecation frequency rates were significantly higher ( $p < 0.05$ ) in BF fed group compared to FF group, which can indicate the gut health of the infants. This result is similar with the study reported by Vandeputte et al. (2016), and the colleges which have shown that increased gut health was correlated with the increased diversity of GM (Vandeputte et al., 2016). Compared to FF group, BF and PF fed groups have shown the increased diversity of GM in the genus level was observed in our study. Moreover, at the genus level, we discovered that the relative abundances of *Bifidobacterium*, *Escherichia/Shigella*, *Streptococcus*, *Klebsiella*, and *Bacteroides* were considerably different in the fecal microbiota of the probiotic and formula-fed groups. Notably, previous studies indicated that a decreased abundance of *Bacteroides* was associated with the development of several diseases, such as obesity and diabetes (Bervoets et al., 2013; Zhang et al., 2013). In our study, the results are similar to those reported by previous studies in terms of the probiotic formula-fed group with body weight gain. Moreover, the relative abundance of the genus *Bacteroides* demonstrates a high capacity for the production of SCFAs such as butyrate (Ohira et al., 2017).

## Conclusion

The present study showed overall fecal microbiota modulation induced by dietary changes in infants. Although the results

from BF intestinal health promoting effect showed the better than FF groups, probiotic strain fortified FF showed improved effect on gut health. Based on the effects, we analyzed GM from the fecal samples. The GM composition and the abundance of health-promoting bacteria increased in the probiotic formula group compared to the FF. Supplementation with probiotics can help optimize the infant gut environment, composition, and metabolic activity of the microbiota, mimicking those of breast milk. Despite this limitation exist, we tried to find overall meaning from changed genera that have previously been reported. Additionally, the findings from this study would provide strategy or reference who wants to investigate on manufacturing fortified IF for infants with novel GM modulation.

## Conflicts of Interest

The authors declare no potential conflicts of interest.

## Acknowledgements

This work was supported by the Ministry of Education of the Korea and the National Research Foundation of Korea (2021R1A6A3A01086566), Korea University Grant, and Lotte Foods Co., Ltd.

## Author Contributions

Conceptualization: Eor JY, Lee CS, Moon SH, Cheon JY. Data curation: Eor JY, Lee CS, Moon SH, Cheon JY, Pathiraja D, Park B. Formal analysis: Moon SH, Cheon JY, Pathiraja D, Park B. Methodology: Moon SH, Cheon JY, Pathiraja D, Park B, Kim S, Noh Y, Kim Y. Software: Eor JY, Lee CS. Validation: Eor JY, Lee CS. Investigation: Eor JY, Lee CS. Writing - original draft: Eor JY, Lee CS, Shin MJ, Kim JY, Choi IG, Kim SH. Writing - review & editing: Eor JY, Lee CS, Moon SH, Cheon JY, Pathiraja D, Park B, Shin MJ, Kim JY, Kim S, Noh Y, Kim Y, Choi IG, Kim SH.

## Ethics Approval

The study was approved by the Korea University Institutional Review Board (IRB, 1040548-KU-IRB-17-251-A-2, and KUIRB-2019-0136-01).

## References

- Azad MB, Konya T, Maughan H, Guttman DS, Field CJ, Chari RS, Sears MR, Becker AB, Scott JA, Kozyrskyj AL. 2013. Gut microbiota of healthy Canadian infants: Profiles by mode of delivery and infant diet at 4 months. *Can Med Assoc J* 185:385-394.
- Bäckhed F, Roswall J, Peng Y, Feng Q, Jia H, Kovatcheva-Datchary P, Li Y, Xia Y, Xie H, Zhong H, Khan MT, Zhang J, Li J, Xiao L, Al-Aama J, Zhang D, Lee YS, Kotowska D, Colding C, Tremaroli V, Yin Y, Bergman S, Xu X, Madsen L, Kristiansen K, Dahlgren J, Wang J. 2015. Dynamics and stabilization of the human gut microbiome during the first year of life. *Cell Host Microbe* 17:690-703.
- Bakker-Zierikzee AM, van Tol EAF, Kroes H, Alles MS, Kok FJ, Bindels JG. 2006. Faecal SIgA secretion in infants fed on

- pre- or probiotic infant formula. *Pediatr Allergy Immunol* 17:134-140.
- Belkaid Y, Hand TW. 2014. Role of the microbiota in immunity and inflammation. *Cell* 157:121-141.
- Berni Canani R, Di Costanzo M, Leone L. 2012. The epigenetic effects of butyrate: Potential therapeutic implications for clinical practice. *Clin Epigenetics* 4:4.
- Bervoets L, Van Hoorenbeeck K, Kortleven I, Van Noten C, Hens N, Vael C, Goossens H, Desager KN, Vankerckhoven V. 2013. Differences in gut microbiota composition between obese and lean children: A cross-sectional study. *Gut Pathog* 5:10.
- Borewicz K, Suarez-Diez M, Hechler C, Beijers R, de Weerth C, Arts I, Penders J, Thijs C, Nauta A, Lindner C, Van Leusen E, Vaughan EE, Smidt H. 2019. The effect of prebiotic fortified infant formulas on microbiota composition and dynamics in early life. *Sci Rep* 9:2434.
- Boué G, Cummins E, Guillou S, Antignac JP, Le Bizec B, Membré JM. 2018. Public health risks and benefits associated with breast milk and infant formula consumption. *Crit Rev Food Sci Nutr* 58:126-145.
- Boyd CA, Quigley MA, Brocklehurst P. 2007. Donor breast milk versus infant formula for preterm infants: Systematic review and meta-analysis. *Arch Dis Child Fetal Neonatal Ed* 92:F169-F175.
- Bragg M, Freeman EW, Lim HC, Songsasen N, Muletz-Wolz CR. 2020. Gut microbiomes differ among dietary types and stool consistency in the captive red wolf (*Canis rufus*). *Front Microbiol* 11:590212.
- Brown Kenneth H, de Romaña DL, Arsenault Joanne E, Peerson Janet M, Penny Mary E. 2007. Comparison of the effects of zinc delivered in a fortified food or a liquid supplement on the growth, morbidity, and plasma zinc concentrations of young Peruvian children. *Am J Clin Nutr* 85:538-547.
- Chakraborti CK. 2015. New-found link between microbiota and obesity. *World J Gastrointest Pathophysiol* 6:110-119.
- Derrien M, Alvarez AS, de Vos WM. 2019. The gut microbiota in the first decade of life. *Trends Microbiol* 27:997-1010.
- Derrien M, Vaughan EE, Plugge CM, de Vos WM. 2004. *Akkermansia muciniphila* gen. nov., sp. nov., a human intestinal mucin-degrading bacterium. *Int J Syst Evol Microbiol* 54:1469-1476.
- Eor JY, Tan PL, Son YJ, Lee CS, Kim SH. 2020. Milk products fermented by *Lactobacillus* strains modulate the gut-bone axis in an ovariectomised murine model. *Int J Dairy Technol* 73:743-756.
- Food and Drug Administration [FDA]. 2019. Guidance for industry: Preparation of food contact notifications for food contact substances in contact with infant formula and/or human milk. FDA, Silver Spring, MD, USA.
- Gomes AC, Hoffmann C, Mota JF. 2018. The human gut microbiota: Metabolism and perspective in obesity. *Gut Microbes* 9:308-325.
- Gupta V, Rebekah G, Sudhakar Y, Santhanam S, Kumar M, Thomas N. 2020. A randomized controlled trial comparing the effect of fortification of human milk with an infant formula powder versus unfortified human milk on the growth of preterm very low birth weight infants. *J Matern Fetal Neonatal Med* 33:2507-2515.
- Henrick BM, Hutton AA, Palumbo MC, Casaburi G, Mitchell RD, Underwood MA, Smilowitz JT, Frese SA. 2018. Elevated fecal pH indicates a profound change in the breastfed infant gut microbiome due to reduction of *Bifidobacterium* over the past century. *mSphere* 3:e00041-18.
- Horta BL, Victora CG. 2013. Short-term effects of breastfeeding: A systematic review on the benefits of breastfeeding on diarrhoea and pneumonia mortality. World Health Organization, Geneva, Switzerland.
- Jost T, Lacroix C, Braegger C, Chassard C. 2015. Impact of human milk bacteria and oligosaccharides on neonatal gut microbiota establishment and gut health. *Nutr Rev* 73:426-437.

- Kalhan SC, Kilic İ. 1999. Carbohydrate as nutrient in the infant and child: Range of acceptable intake. *Eur J Clin Nutr* 53:s94-s100.
- Kwon HJ, Lim JH, Kang D, Lim S, Park SJ, Kim JH. 2019. Is stool frequency associated with the richness and community composition of gut microbiota? *Intest Res* 17:419-426.
- Louis P, Flint HJ. 2009. Diversity, metabolism and microbial ecology of butyrate-producing bacteria from the human large intestine. *FEMS Microbiol Lett* 294:1-8.
- Louis P, Flint HJ. 2017. Formation of propionate and butyrate by the human colonic microbiota. *Environ Microbiol* 19:29-41.
- Makino H. 2018. Bifidobacterial strains in the intestines of newborns originate from their mothers. *Biosci Microbiota Food Health* 37:79-85.
- Martin CR, Ling PR, Blackburn GL. 2016. Review of infant feeding: Key features of breast milk and infant formula. *Nutrients* 8:279.
- Mohan R, Koebnick C, Schildt J, Schmidt S, Mueller M, Possner M, Radke M, Blaut M. 2006. Effects of *Bifidobacterium lactis* Bb12 supplementation on intestinal microbiota of preterm infants: A double-blind, placebo-controlled, randomized study. *J Clin Microbiol* 44:4025-4031.
- Moore RE, Xu LL, Townsend SD. 2021. Prospecting human milk oligosaccharides as a defense against viral infections. *ACS Infect Dis* 7:254-263.
- Mugambi MN, Musekiwa A, Lombard M, Young T, Blaauw R. 2012. Synbiotics, probiotics or prebiotics in infant formula for full term infants: A systematic review. *Nutr J* 11:81.
- Ohira H, Tsutsui W, Fujioka Y. 2017. Are short chain fatty acids in gut microbiota defensive players for inflammation and atherosclerosis? *J Atheroscler Thromb* 24:660-672.
- Pärty A, Luoto R, Kalliomäki M, Salminen S, Isolauri E. 2013. Effects of early prebiotic and probiotic supplementation on development of gut microbiota and fussing and crying in preterm infants: A randomized, double-blind, placebo-controlled trial. *J Pediatr* 163:1272-1277.
- Radke M, Picaud JC, Loui A, Cambonie G, Faas D, Lafeber HN, de Groot N, Pecquet SS, Steenhout PG, Hascoet JM. 2017. Starter formula enriched in prebiotics and probiotics ensures normal growth of infants and promotes gut health: A randomized clinical trial. *Pediatr Res* 81:622-631.
- Rijkers GT, de Vos WM, Brummer RJ, Morelli L, Corthier G, Marteau P. 2011. Health benefits and health claims of probiotics: Bridging science and marketing. *Br J Nutr* 106:1291-1296.
- Rivière A, Selak M, Lantin D, Leroy F, De Vuyst L. 2016. Bifidobacteria and butyrate-producing colon bacteria: Importance and strategies for their stimulation in the human gut. *Front Microbiol* 7:979.
- Sassone-Corsi M, Nuccio SP, Liu H, Hernandez D, Vu CT, Takahashi AA, Edwards RA, Raffatellu M. 2016. Microcins mediate competition among Enterobacteriaceae in the inflamed gut. *Nature* 540:280-283.
- Stewart CJ, Ajami NJ, O'Brien JL, Hutchinson DS, Smith DP, Wong MC, Ross MC, Lloyd RE, Doddapaneni H, Metcalf GA, Muzny D, Gibbs RA, Vatanen T, Huttenhower C, Xavier RJ, Rewers M, Hagopian W, Toppari J, Ziegler AG, She JX, Akolkar B, Lernmark A, Hyoty H, Vehik K, Krischer JP, Petrosino JF. 2018. Temporal development of the gut microbiome in early childhood from the TEDDY study. *Nature* 562:583-588.
- Tannock GW, Lawley B, Munro K, Pathmanathan SG, Zhou SJ, Makrides M, Gibson RA, Sullivan T, Prosser CG, Lowry D, Hodgkinson AJ. 2013. Comparison of the compositions of the stool microbiotas of infants fed goat milk formula, cow milk-based formula, or breast milk. *Appl Environ Microbiol* 79:3040-3048.

- Toscano M, De Grandi R, Grossi E, Drago L. 2017. Role of the human breast milk-associated microbiota on the newborns' immune system: A mini review. *Front Microbiol* 8:2100.
- Touchefeu Y, Montassier E, Nieman K, Gastinne T, Potel G, Bruley des Varannes S, Le Vacon F, de La Cochetière MF. 2014. Systematic review: The role of the gut microbiota in chemotherapy- or radiation-induced gastrointestinal mucositis – current evidence and potential clinical applications. *Aliment Pharmacol Ther* 40:409-421.
- Vandenplas Y, Carnielli VP, Ksiazek J, Sanchez Luna M, Migacheva N, Mosselmans JM, Picaud JC, Possner M, Singhal A, Wabitsch M. 2020. Factors affecting early-life intestinal microbiota development. *Nutrition* 78:110812.
- Vandenplas Y, Zakharova I, Dmitrieva Y. 2015. Oligosaccharides in infant formula: More evidence to validate the role of prebiotics. *Br J Nutr* 113:1339-1344.
- Vandeputte D, Falony G, Vieira-Silva S, Tito RY, Joossens M, Raes J. 2016. Stool consistency is strongly associated with gut microbiota richness and composition, enterotypes and bacterial growth rates. *Gut* 65:57-62.
- Vendt N, Grünberg H, Tuure T, Malminiemi O, Wuolijoki E, Tillmann V, Sepp E, Korpela R. 2006. Growth during the first 6 months of life in infants using formula enriched with *Lactobacillus rhamnosus* GG: Double-blind, randomized trial. *J Hum Nutr Diet* 19:51-58.
- Vital M, Howe AC, Tiedje JM. 2014. Revealing the bacterial butyrate synthesis pathways by analyzing (meta)genomic data. *mBio* 5:e00889-14.
- Wargo WF. 2016. The history of infant formula: Quality, safety, and standard methods. *J AOAC Int* 99:7-11.
- Zhang X, Shen D, Fang Z, Jie Z, Qiu X, Zhang C, Chen Y, Ji L. 2013. Human gut microbiota changes reveal the progression of glucose intolerance. *PloS One* 8:e71108.
- Zhang Y, Yang L, Zhao N, Hong Z, Cai B, Le Q, Yang T, Shi L, He J. 2021. Soluble polysaccharide derived from *Laminaria japonica* attenuates obesity-related nonalcoholic fatty liver disease associated with gut microbiota regulation. *Mar Drugs* 19:699.