

1 **Potential prebiotic properties of whey protein and glycomacropeptide in gut microbiome**

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12 Running title: Effect of whey protein and glycomacropeptide in gut microbiome

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24 **Abstract**

25 Proteins in whey have prebiotic and antimicrobial properties. Whey protein comprises numerous
26 bioactive proteins and peptides, including glycomacropeptide (GMP), a hydrophilic casein
27 peptide that separates with the whey fraction during cheese making. Glycomacropeptide has
28 traditionally been used as a protein source for individuals with phenylketonuria and also has
29 prebiotic (supporting the growth of *Bifidobacterium* and lactic acid bacteria) and antimicrobial
30 activities. Glycomacropeptide supplementation may help positively modulate the gut
31 microbiome, help treat dysbiosis-related gastrointestinal disorders and improve overall health in
32 consumers.

33
34 **Keywords:** whey protein, glycomacropeptide (GMP), prebiotic effect, gut microbiome.

35 **Introduction**

36 Whey is a byproduct of the cheese manufacturing process. It is the liquid portion that is
37 drained away after curd formation. Bovine milk is the most common source of whey due to its
38 predominance in the dairy industry. The extracted product referred to as whey protein is a
39 mixture of numerous proteins including β -lactoglobulin (~65%), α -lactalbumin (~25%), bovine
40 serum albumin (~8%), lactoferrin (~1%), lactoperoxidase (0.25-0.5%) and immunoglobulins
41 (<1%) (Boscaini et al., 2020).

42 Bovine whey protein is used in a large array of products, including supplements to
43 promote muscle synthesis and infant formula. Whey protein helps increase muscle synthesis
44 which can help with muscle and exercise recovery in athletes (Daniel et al., 2017; Moore, 2019),
45 and decrease the risk of age-related sarcopenia (Liao et al., 2019; Yang et al., 2012) (Figure 1).
46 Bovine whey protein is added to most infant formulas to mimic human milk. Beyond serving as
47 a source of amino acids, the proteins in whey can exert additional functions, including prebiotic,
48 antimicrobial (Kareb and Aider, 2019), and enhancing gastrointestinal health (Li et al., 2018).
49 For example, many whey proteins, including lactoferrin, lactoperoxidase and immunoglobulins
50 have direct or indirect antimicrobial activity (Bielecka et al., 2022). Perhaps due to these
51 bioactivities, whey protein supplementation can alter the gut microbiome (at least in animal
52 models) (Boscaini et al., 2020; Nilaweera et al., 2017) and thereby impact metabolism (Boscaini
53 et al., 2020) (Figure 1).

54 Glycomacropeptide (GMP) is a hydrophilic peptide cleaved from κ -casein during
55 cheesemaking and makes up about 20% by mass of commercial whey protein (Neelima et al.,
56 2013). About half of the GMP in whey is the unglycosylated form known as
57 caseinomacropeptide, whereas the other 50% consists of 14 different glycovariants (Robitaille,

58 2013). Glycomacropeptide has several functions, including prebiotic (it promotes the growth of
59 *Bifidobacterium* and lactic acid bacteria (Córdova-Dávalos et al., 2019) (Figure 1), antimicrobial
60 and immunomodulatory (Laura et al., 2019).

61

62 **Impact of whey on the gut microbiome**

63 Whey protein supplementation can impact the gut microbiome. In an infant fecal culture
64 system with 3 feeding conditions; 1) bovine milk, 2) formula with α -lactalbumin, and 3) formula
65 with GMP, both formulas supplemented with α -lactalbumin and GMP both supported
66 *Bifidobacterium* as the predominant organism and decreased *Bacteroides*, *Clostridium* and
67 *Escherichia coli* (Brück et al., 2003). In the same study, all groups reduced enteropathogenic *E.*
68 *coli* and *Salmonella* Typhimurium after initial inoculation of these pathogens into the fecal
69 culture system (Brück et al., 2003).

70 Whey protein feeding has also been shown to alter the microbiome in animal models.
71 Nilaweera et al. found that whey protein isolate (WPI) supplementation reduced the
72 susceptibility of mice to sucrose-induced microbial changes to a larger degree than those
73 supplemented with casein (Nilaweera et al., 2017). The study indicated that whey protein
74 reduced the abundance of Firmicutes and Actinobacteria, which is associated with enhanced
75 metabolic health and reduced inflammation, and increased Bacteroidetes, a phylum that can
76 break down complex carbohydrates and produce beneficial short-chain fatty acids (SCFAs). In
77 the same study, whey protein feeding increased the families *Unclassified_Sutterellaceae*,
78 *Sutterellaceae*, *Anaeroplasmaceae*, *Unclassified_Porphyrromonadaceae* and
79 *Porphyrromonadaceae*, and decreased *Streptococcaceae* and *Enterobacteriaceae*, resulting in the
80 increase of potential healthy gut microbiomes (Nilaweera et al., 2017). Similarly, mice with

81 autoimmune prostatitis fed glycated whey had decreased Firmicutes and an increased
82 *Porphyromonadaceae*, among other families and genera (Chen et al., 2020). In mice fed a high-fat
83 diet (HFD), whey protein increased *Lactobacillaceae* and *Clostridiaceae* at the family level and
84 *Desulfovibrio* and *Mucisprillum* at the genus level (McAllan et al., 2014). Boscaini et al. found
85 that 5-week-old mice fed whey (compared with casein) on a HFD had increased
86 *Streptococcaceae* and *Lactococcus* at the family and genus levels, increased *Lactococcus lactis*
87 and *Bacteroides vulgatus* at the species level and increased abundance of *Lactobacillus murinis*
88 (Boscaini et al., 2020). Sprong et al. found that casein supplemented with either whey protein or
89 threonine and cysteine both increased *Lactobacillus* and *Bifidobacteria*, decreased markers of
90 inflammation and increased mucin secretion in rats with dextran sulfate sodium-induced colitis
91 (Sprong et al., 2010). Chen et al. found that non-obese diabetic mice fed whey protein-derived
92 early glycation products for 6 months had increased *Allobaculum*, *Anaerostipes*, *Bacteroides*,
93 *Parabacteroides* and *Prevotella* and decreased *Adlercreutzia* and *Roseburia* at the genus level
94 (Chen et al., 2020). In this study, some of the changes in the microbiome correlated with immune
95 markers measured, which suggested that the microbial changes may have contributed to the
96 observed anti-inflammatory effects (Chen et al., 2020). Specifically, total splenocytes were
97 negatively correlated with *Bacteroides (uniformis and acidifaciens)*, *Parabacteroides*,
98 *Prevotella*, and *Anaerostipes*; splenic M1 macrophages were negatively correlated with
99 *Bacteroides (uniformis and acidifaciens)* and *Parabacteroides*; and splenic CD4+ T-cells were
100 negatively correlated with *Bacteroides acidifaciens* (Chen et al., 2020).

101 Nielsen et al. found that piglets given WPC with high α -lactalbumin tended to have
102 higher alpha-diversity and a higher abundance of *Clostridiaceae*, *Enterobacteriaceae*,
103 *Streptococcus* and *Streptomyces* than those supplemented with WPC with low α -lactalbumin

104 (Nielsen et al., 2020). However, the microbial composition resulting from dietary interventions
105 did not show evidence of correlation with physiological changes or functional, or performance
106 aspects of preterm pigs (Nielsen et al., 2020).

107 Though dietary whey protein modulates the gut microbiome in fecal cultures, murine
108 models and piglet models, the few human studies have not been able to replicate these results.
109 This lack of findings in humans may be due to the large degree of variation in human gut
110 microbiomes, behaviors, environmental factors and genetics. Two studies on primarily
111 overweight or obese adult humans found that whey protein did not significantly alter the gut
112 microbiome (Cronin et al., 2018; Reimer et al., 2017). However, one study on endurance athletes
113 fed between whey isolate and beef hydrolysate for 10 weeks indicated an altered gut microbiome
114 with increased *Bacteroidetes* and decreased health-related taxa, suggesting a potential negative
115 impact of long-term protein supplementation that requires further research (Moreno-Pérez et al.,
116 2018). A summary of recent studies related to whey protein diets is listed in Table 1.

117

118 **Impact of GMP on the gut microbiome**

119 Glycomacropeptide can promote the growth of beneficial organisms and inhibit the
120 adhesion of pathogens to intestinal cells (Córdova-Dávalos et al., 2019). Córdova-Dávalos et al.
121 provided an extensive review of GMP's ability to prevent the adhesion of pathogens (e.g., *S.*
122 *Typhimurium* and enterohemorrhagic *E. coli* 0157) and toxins (e.g., cholera toxin, *E. coli*
123 enterotoxin) to intestinal cells (Córdova-Dávalos et al., 2019). Glycomacropeptide also
124 demonstrates an enhancement in the growth of some specific probiotic organisms. For example,
125 bovine and caprine GMP both improved the growth of *Lactobacillus rhamnosus* RW-9595-M

126 and *Bifidobacterium thermophilum* RBL67 in a dose-dependent manner and to a greater degree
127 than bovine β -lactoglobulin (Robitaille, 2012).

128 The mechanism by which GMP exhibits prebiotic activity is unclear. Though studies on
129 the antimicrobial impact of GMP tend to implicate the glycosylation structures in its bioactivity
130 (Feeney et al., 2017), studies examining growth-promoting ability are less concordant. Some
131 studies suggest the prebiotic effect stems from the glycan moieties of GMP. For example,
132 periodate oxidation to remove the glycans from GMP significantly reduced its bifidogenic effect,
133 which was interpreted as evidence that its prebiotic activity is linked to glycosylation (O’Riordan
134 et al., 2018). This study also found that GMP induces the expression of some glycogenes, but
135 repressed others, perhaps representing a glycan-structure specific response (O’Riordan et al.,
136 2018).

137 Further, sialyl glycopeptide concentrate (SGC) created through digestion and
138 ultrafiltration of GMP-containing whey protein concentrate (G-WPC) outperformed G-WPC as
139 the sole carbon source for the growth of certain *Bifidobacteria* (Fukudome et al., 2021).
140 Although the glycan component of GMP is often hypothesized to be the basis for prebiotic
141 activity, the peptide portion may be involved as well, as periodate-treated GMP did possess a
142 small growth-promoting effect (O’Riordan et al., 2018). Similarly, Tian et al. found that GMP’s
143 *Bifidobacteria* growth-promoting effect did not directly depend on sialic acid content and may be
144 related to its high glutamine, leucine, and alanine contents, despite the poor proteolytic activity
145 of most *Bifidobacteria* (Tian et al., 2014). Glycomacropeptide hydrolysate produced with papain
146 (GHP) had a stronger growth-promoting effect on *Bifidobacterium animalis subsp. lactis* (Bb12)
147 than intact GMP or GMP hydrolyzed by trypsin (GHT), although GHP had the lowest sialic acid
148 content of the three (Tian et al., 2014). Robitaille found that glycosylated, unglycosylated, and

149 mixed GMP treatments equally promoted the growth of lactic acid bacteria, indicating that the
150 glycosylation state is not necessarily a factor in prebiotic activity, even though neither
151 *Bifidobacteria* strain studied was proteolytic (Robitaille, 2012). Robitaille suggested that GMP
152 allows improved growth of *Bifidobacteria* and *Lactobacillus* in acidic media during fermentation
153 by triggering metabolic adaptations (Robitaille, 2012). Regardless of the mechanism, GMP is
154 effective in encouraging the growth of probiotic organisms *in vitro* and could be used in the
155 production of probiotics or as a functional ingredient to promote the growth of probiotic cultures
156 in fermented dairy products and influence beneficial organisms in the gut (O’Riordan et al.,
157 2018; Robitaille, 2013; Tian et al., 2014).

158 Like whey protein, GMP can impact the gut microbiome. In an *in vitro* human fecal
159 culture system, GMP addition supported stable *Bifidobacterium* presence and decreased
160 Bacteroides, *Clostridium* and *E. coli* (Brück et al., 2003). Chen et al. demonstrated that feeding
161 GMP to mice promoted the growth of *Lactobacillus* and *Bifidobacteria* while decreasing
162 *Enterobacteriaceae* and coliforms (Chen et al., 2012).

163 In piglets, compare with control diet, diet supplemented with 1.5% casein GMP resulted
164 in positive changes to the gut microbiome (increased *Lactobacillus* and decreased
165 *Enterobacteria*) (Hermes et al., 2012). Supplementation of sows with a combination of GOS and
166 casein glycomacropeptide (GOS+GMP) during late gestation through farrowing induced changes
167 to the intestinal microbiome in both the sow and their offspring, when comparing to control
168 treatment based on nutrient requirements from National Research Council (Wu et al., 2020). At
169 the phylum level, Fusobacteria became more prevalent in GOS+GMP-fed sows, whereas their
170 offspring had an increase in Synergistetes and a decrease in Patescibacteria. At the genus level,
171 GOS+GMP-fed sows had higher *Prevotella*, *Fusobacterium*, and *unclassified_f_Prevotellaceae*,

172 and their offspring had higher *norank_f_Ruminococcaceae*, *Christensenellaceae_R-7_group*,
173 *Ruminococcaceae_UCG-005*, and *Ruminococcaceae_UCG-010* (Wu et al., 2020). Beyond these
174 changes in the microbiome, this supplementation improved the number of live and healthy
175 piglets, total litter weight, and average birth weight of live piglets (Wu et al., 2020). These
176 findings align with previous work demonstrating that maternal diet impacts the health and
177 microbial composition of the intestinal tract of offspring (Kashtanova et al., 2016). The study
178 design did not allow identification of any effect due to GMP alone.

179

180 **Effects of GMP on the microbiome in humans**

181 Though GMP has growth-promoting effects on probiotic organisms *in vitro* and in animal
182 models, these results are not consistently replicable in humans. Wernlund et al. found that GMP
183 supplementation in healthy human subjects had no effects on Shannon or observed diversity and
184 no changes in microbiome composition or fecal short-chain fatty acids (Wernlund et al., 2020).
185 Moreover, that study showed no effect of GMP on gastrointestinal symptoms. Likewise, the
186 study found no effect of GMP on high-sensitivity C-reactive protein, fecal calprotectin,
187 indicating little systemic immunomodulatory impacts (Wernlund et al., 2020). A possible
188 explanation for the observed lack of change in humans is the increased variability in human
189 genetics, environments, behaviors and microbiomes compared to laboratory mice.

190 A recent crossover study (Hansen et al., 2023) in which obese women consumed twice or
191 thrice daily GMP supplements (15 g GMP + 10 g whey protein/dose) found that compared to
192 baselines, the high dose GMP resulted in lower overall alpha-diversity and the low dose resulted
193 in lower relative abundance of the genus *Streptococcus*. The observed changes in the gut
194 microbiome were unlikely to be the cause of the observed increases in satiety and higher area

195 under the curves of the glucoregulatory/satiety hormone amylin and the C-peptide of insulin and
196 lower glucagon in a blood test after a GMP meal tolerance test compared with a baseline soy
197 meal tolerance test. In this study, GMP supplementation did not affect weight, markers of
198 systemic inflammation or plasma short-chain fatty acids (Hansen et al., 2023).

199

200 **GMP effects on dysbiosis**

201 Glycomacropeptide may be able to improve dysbiosis induced by old age or metabolic
202 syndromes. In the elderly, a loss of microbial diversity may be a contributing factor in
203 suboptimal health (Ntemiri et al., 2017). In an artificial colon model of elderly gut microbiota,
204 the addition of GMP increased microbial diversity and increased the growth of the beneficial gut
205 bacteria *Coprococcus* and *Clostridium* cluster XIVb (Ntemiri et al., 2017). In fecal cultures from
206 free-living subjects GMP supplementation increased *Roseburia* and tended to increase *Dorea*,
207 whereas in fecal culture from subjects residing in long-stay facilities *Pseudoflavonifactor*
208 increased (Ntemiri et al., 2017). Type 2 diabetes is also associated with a loss of microbial
209 diversity and changes to the microbial composition (Yuan et al., 2020). Mice with type 2
210 diabetes had increased *Helicobacteraceae* and *Lachnospiraceae* and decreased
211 *Bacteroidales_S24-7_group* (Yuan et al., 2020). Feeding glycomacropeptide hydrolysates (GHP)
212 to these diabetic mice recovered lost microbial diversity, reduced *Helicobacteraceae*, and
213 increased *Ruminococcaceae* and *Bacteroidales_S24-7_group*, the ratio of
214 Bacteroidetes:Firmicutes, and *Ruminiclostridium*, *Blautia*, and *Allobaculum* (Yuan et al., 2020).
215 Similarly, feeding non-hydrolyzed GMP increased the abundance of *Allobaculum* in wild-type
216 mice and the abundance of *Bacteroidales;f_S24-7;g_* in mice with phenylketonuria and reduced

217 *Desulfovibrio* in both wild-type and phenylketonuria mice, which is associated with
218 inflammatory bowel disease (IBD) (Sawin et al., 2015).

219

220 **Impacts of GMP-induced microbial shifts on physiology**

221 The changes in the gut microbiome induced by GMP can have physiological impacts
222 (Table 2). For example, in a study by Yuan et al., supplementing glycomacropeptide
223 hydrolysates to mice with high fat diet and streptozotocin-induced type 2 diabetes induced
224 antidiabetic effects that correlated with changes in the microbiome (Yuan et al., 2020). Similarly,
225 microbial changes in piglets whose mothers were supplemented with a combination of GOS and
226 GMP were positively correlated with mRNA transcript levels for claudin-1, claudin-2, occludin,
227 mucin-4, and mucin-13 (Wu et al., 2020).

228

229 **Future Perspective**

230 Though many studies have examined the effects of GMP in animal models (e.g., neonatal
231 piglets (Wu et al., 2020), rhesus monkeys (Kelleher et al., 2003), and mice (Nilaweera et al.,
232 2017)), studies in humans are scarce. Moreover, we know little about how changes in the
233 microbiome induced by GMP affect physiology. Future research is needed to examine the effects
234 of whey protein and GMP on the microbiome in humans and determine their clinical impacts.
235 Moreover, more studies examining the long-term effect of whey protein and GMP
236 supplementation on gut health and immune function are needed (Pena et al., 2018). Further
237 research can guide the use of GMP and whey protein as therapeutics.

238

239

240 **Conclusions**

241 Both whey protein and GMP have a range of bioactivities, including prebiotic action and
242 antimicrobial actions, and may enhance human health beyond provision of their amino acids. In
243 animal models, whey protein supplementation frequently results in increased gut microbiota
244 diversity, increased growth of beneficial microbial species and decreased markers of
245 inflammation. GMP's capacity to promote the growth of beneficial organisms makes it a
246 potential prebiotic dietary supplement. More research is needed to determine the extent to which
247 whey protein and GMP affect the microbiome in humans and the extent to which any such
248 changes affect overall physiology.

249
250 **Funding**

251 This review manuscript was supported by the BUILD (Building University-Industry linkages
252 through Learning and Discovery) Dairy program and Glanbia.

253
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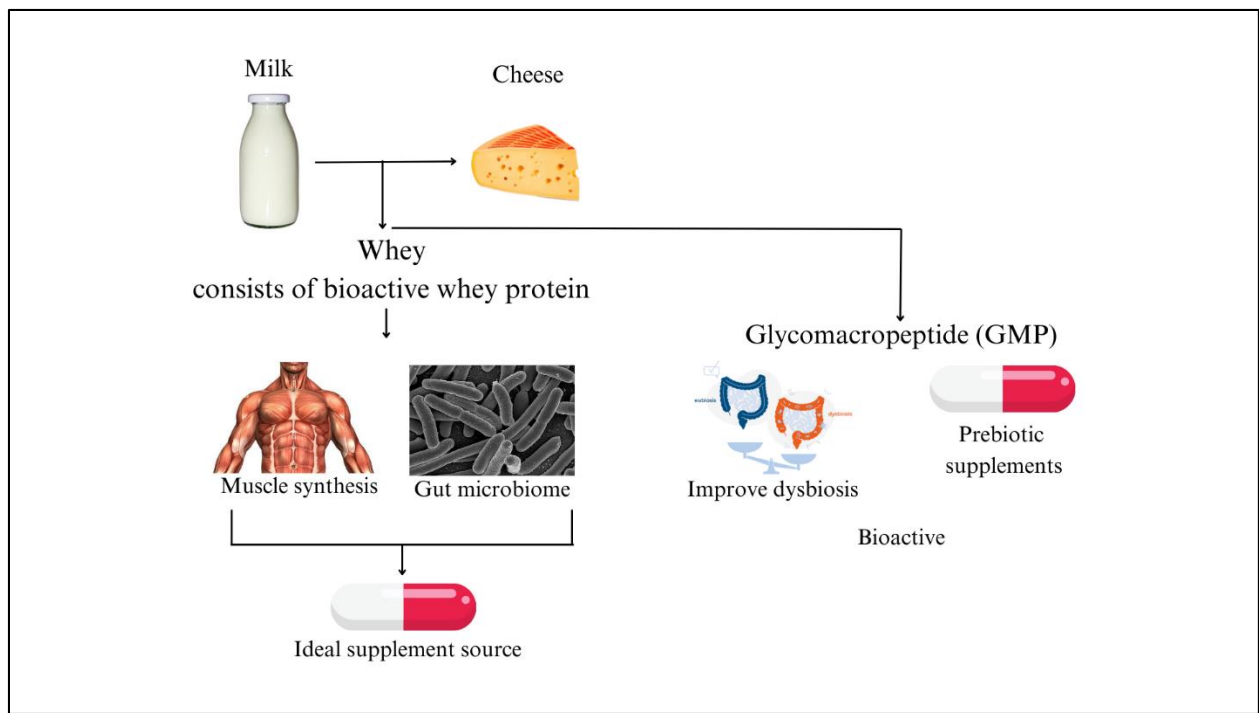
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405 **Figure 1:** Overall relations between whey and GMP with their primary functions.

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Table 1: A summary of the recent studies examining the effect of different whey protein diets on gut microbiome diversity

Area	Criteria of study	Outcome: changes in gut microbiome (whey only)		Reference
		Increase	Decrease	
Animal studies	Mice fed whey protein isolate (WPI) for 17 weeks	<i>Bacteroidetes</i>	Firmicutes and Actinobacteria	Nilaweera et al., 2017
	Non-obese diabetic mice fed glycated whey proteins for 6 months	<i>Porphyromonadaceae</i>	Firmicutes	Chen et al., 2020
	Mice on a high-fat diet (HFD) fed for 21 weeks	<i>Lactobacillaceae</i>	<i>Clostridiaceae/Clostridium</i>	McAllan et al., 2014
	Mice on a HFD fed WPI versus casein for 5 weeks	<i>Lactobacillus murinus</i>	<i>HsL</i> and <i>Lpl</i> expression	Boscaini et al., 2020
	Rats with 3% dextran sulfate sodium-induced colitis fed cheese whey protein for 14 days	<i>Lactobacilli</i> and <i>Bifidobacteria</i>	N/A	Sprong et al., 2010
	Preterm piglets fed α -lactalbumin-enriched whey protein concentrate (WPC) versus regular WPC for 19 days	<i>Clostridiaceae</i> , <i>Enterobacteriaceae</i> , and <i>Lachnospiraceae</i>	None noted	Nielsen et al., 2020
	Pre-pubertal male rats under 60 days of food restriction and refeeding with casein- or whey-based diet	<i>Burkholderiales</i> (phylum Proteobacteria), <i>Bacilli</i> (phylum Firmicutes), and <i>Lactibacillaceae</i>	<i>Erysipelotrichales</i> , <i>Cytophagales</i> , and <i>Flavobacteriales</i>	Masarwi et al., 2018
	Mice fed high-fat-whey protein concentrate and high-fat whey-protein hydrolysate for 9 weeks	Bacteroidetes phylum (<i>B. rodentium</i> , <i>B. acidifaciens</i> and <i>B. stercoris</i>)	<i>Firmicutes</i>	Monteiro et al., 2016
	Rats with and without tumors fed a whey-based diet containing medium-chain triglycerides	<i>Muribaculaceae</i> and <i>Peptostreptococcaeae</i>	<i>Ruminococcaceae</i>	Wardill et al., 2023
Human study	Endurance athletes fed whey isolate or beef hydrolysate for 10 weeks	Bacteroidetes phylum	<i>Citrobacter</i> , <i>Klebsiella</i> , <i>Coprococcus</i> , <i>Roseburia</i> , and <i>Blautia</i> genera	Moreno-Pérez et al., 2018
	With or without whey protein supplement on adult engaged in aerobic and resistance training for 8 weeks	<i>Lactococcus</i> phage, β -diversity of gut virome	None noted	Cronin et al., 2018
	Adults with overweight/obesity assigned snack bar with whey protein for 12 weeks	None	None	Reimer et al., 2017

In vitro study	In vitro infant fecal culture exposed to whey protein hydrolysate enriched in essential amino acids	<i>Lactobacillus acidophilus</i> , <i>Proteobacteria</i> , <i>Streptococcus</i> , and <i>Bacteroides</i>	Actinobacteriota:Bacteroidota (ratio)	Feng et al., 2022
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Table 2: A summary of glycomacropeptide (GMP) effects on gut microbiome diversity

Area/Criteria of Study	Specific effect	Reference
General population health	Decrease <i>Streptococcus</i> abundance in the gut	Hansen et al., 2023
	Enhance indicators of satiety and glycemic control	
	Positive relationship of GMP + GOS feeding with increased mRNA transcript levels for claudin-1, claudin-2, occludin, mucin-4, and mucin-13	Wu et al., 2020
	Changes in the microbiome were positively correlated with antidiabetic effects after feeding glycomacropeptide hydrolysates	Yuan et al., 2020
	Maternal GMP + GOS supplementation improved litter characteristics in piglet (number of live and healthy piglets, total litter weight, and average birth weight of live piglets)	Wu et al., 2020
	Maternal GMP + GOS supplementation increased immunoglobulins (IgA, IGF-1, IgG, IgM) in neonatal piglets	
Microbiome - Dysbiosis	Increased <i>Lactobacillus</i> , stable <i>Bifidobacterium</i> , decreased <i>Bacteroides</i> , <i>Clostridium</i> , and <i>E. coli</i> in human fecal cultures	Brück et al., 2003
	Improved microbial diversity in an artificial colon model for elderly people: increased growth of <i>Coprococcus</i> , <i>Clostridium cluster XIVb</i> , <i>Roseburia</i> ; decreased <i>Dorea</i>	Ntemiri et al., 2017
	Reduced <i>Helicobacteraceae</i> ; increased <i>Ruminococcaceae</i> , <i>Bacteroidales_S24-7_group</i> in mice with type-2 diabetes	Yuan et al., 2020

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