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Article Type	Research article
Article Title	Preparation of Hypoallergenic Whey Protein Hydrolysate by a Mixture of Alcalase and Prozyme and Evaluation of its Digestibility and Immunoregulatory Properties
Running Title (within 10 words)	Digestibility and Immunoregulatory Properties of Hypoallergenic Whey Protein Hydrolysate
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7 8 9 1(	<ul> <li>Authors and affiliation inform</li> </ul>	nation should be listed on a separate Title Page.		
11	l Abst	ract (within 250 words)		
12	2 Whey protein (WP) has nutrition	nal value, but the presence of $\beta$ -lactoglobulin ( $\beta$ -LG)		
13	and $\alpha$ -lactalbumin ( $\alpha$ -LA) cause al	lergic reactions. In this study, hypoallergenic whey		
14	4 protein hydrolyate (HWPH) was p	repared by decomposing $\beta$ -LG and $\alpha$ -LA of WP using		
15	5 exo- and endo-type proteases. The	enzyme mixing ratio and reaction conditions were		
16	6 optimized using response surface 1	nethodology (RSM). Degradation of $\alpha$ -LA and $\beta$ -LG		
17	7 was confirmed through gel electro	phoresis, and digestion, and absorption rate, and		
18	8 immunostimulatory response were	measured using in vitro and in vivo systems.		
19	9 Through RSM analysis, the optima	l hydrolysis conditions for degradation of $\alpha$ -LA and		
20	$\beta$ -LG included a 1:1 mixture of Al	calase and Prozyme reacted for 10 h at a 1.0%		
21	enzyme concentration relative to s	ubstrate. The molecular weight of HWPH was <5		
22	2 kDa, and leucine was the prominer	nt free amino acid. Both in vitro and in vivo tests		
23	3 showed that digestibility and intest	inal permeability were higher in HWPH than in WP.		
24	In BALB/c mice, as compared to V	VP, HWPH reduced allergic reactions by inducing		
25	5 elevated Type 1/Type 2 helper T c	ell ratio in the blood, splenocytes, and small intestine.		
26	Thus, HWPH may be utilized in a variety of low allergenicity products intended for			
27	7 infants, adults, and the elderly.			
28	8 <b>Keywords:</b> hydrolysate; whey pro	tein; response surface methodology; hypoallergenic;		
29	infant nutrition			
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### 31 Introduction

Whey, a by-product resulting from the production of cheese, is a generic term for the remaining water-soluble components other than cheese (milk concentrate curd). With the exception of casein, which is involved in cheese production, whey is valued highly nutritionally and physiologically because of the presence of active ingredients including proteins, lactose, minerals, vitamins, and inorganic components (Boscaini et al., 2023; Yiğit et al., 2023).

38 Whey protein (WP) not only boosts immunity due to the presence of  $\beta$ -lactoglobulin 39  $(\beta$ -LG),  $\alpha$ -lactalbumin ( $\alpha$ LA), bovine serum albumin, lactoferrin (LF), immunoglobulin 40 (Ig), enzymes, and glycomacropeptide, and is also a source of essential amino acids 41 (Marshall, 2004). Though it is obvious that WP is an excellent nutritive food, some of 42 its components have been linked to allergic conditions in infants and young children 43 (Jaiswal and Worku, 2022; Wright et al., 2022). Among the above mentioned proteins, 44 the major antigens include case  $\beta$ -LG, and  $\alpha$ LA, and it has been reported that most 45 patients allergic to milk allergy have specific IgE antibodies against at least two 46 antigens (Cohen et al., 2022; Savilahti and Kuitunen, 1992; Sicherer and Sampson, 47 1999). Among WPs,  $\beta$ -LG is known to be more allergenic than  $\alpha$ LA. In human milk, in 48 particular,  $\beta$ -LG is present in smaller amounts ranging from 1-150 µg/mL (Exl and 49 Fritsché, 2001), and its content is lower than that of casein, another allergen of milk, 50 which comprises approximately 7 to 12% of milk protein. 51 To lessen milk allergies,  $\beta$ -LG is hydrolyzed using digestive enzymes, and 52 hypoallergenic milk powder prepared using proteolytic enzymes is commercially 53 available (Lynch and Buckin, 2022). To reduce milk allergic reactions, it is necessary to 54 provide a diet that restricts the causative milk or provided in a form that lowers the 55 antigenicity of milk. Different treatment methods, such as heat, ultra-high pressure

56	(Landim et al., 2023), and enzymes, can be used to reduce the antigenicity of proteins.
57	Because one of the main allergens in milk, casein, is heat-resistant, the reduction in
58	antigenicity by heat treatment is insignificant (Bu et al., 2013; Vandenplas et al., 2022).
59	Thus, milk proteins containing both structural and sequential epitopes are mainly
60	hydrolyzed by enzymes. Although it doesn't exist in human milk and has a lower
61	content than $\alpha LA$ , the primary allergen of WP, $\beta$ -LG, shows resistance during digestion
62	in the stomach and penetrates the intestines to cause milk allergies.
63	Therefore, this study aimed to prepare WP hydrolysates (WPH) with reduced
64	antigenicity of $\alpha$ -LA and $\beta$ -LG allergens as target substrates. Optimal hydrolysis
65	conditions for degrading antigenic WPs using a mixture of endo- and exo-type enzymes
66	were selected using response surface methodology (RSM). By preparing a
67	hypoallergenic whey protein hydrolysate (HWPH), we developed a milk protein
68	manufacturing technology that can be fed to milk-allergic infants. The digestibility and
69	immune stimulation of the prepared hydrolysate were also evaluated.
70	
71	Materials and Methods
72	Materials
73	In this study, whey protein concentrate (WPC; Agri-mark, FL, USA) with a protein
74	content of 80% (dry basis) was used as the raw WP. A 75% $\alpha$ -LA and a 95% $\beta$ -LG from
75	bovine milk (Samyoung Innovation, Pyeongtaek, Republic of Korea) were used as the
76	allergen standards. Alcalase (Novozymes, Copenhagen, Denmark), protamex
77	(Novozymes), flavourzyme (Novozymes), and collupulin (DSM, Heerlen, Netherlands)
78	were employed as endo-type proteolytic enzymes, whereas prozyme (DuPont,
79	Delaware, USA) was used as an exo-type enzyme. Pepsin and pancreatin (Sigma-

Aldrich, St. Louis, USA) were used as the artificial digestive enzymes. L-leucine was
purchased from Sigma-Aldrich.

#### 82 **Preparation of Whey Protein Hydrolysate**

83 To prepare the whey protein hydrolysate, a mixture of endo- and exo-type protease 84 enzymes was added to a 10% suspension of WP at a concentration of 1.0% (v/w) 85 relative to the substrate solution. This was then hydrolyzed for 8 h at  $50^{\circ}$ C at pH 7.8 86 with shaking at 100 rpm. Each reaction solution was treated at 90°C for 10 min to 87 inactivate protease and then centrifuged at 8,000 rpm for 20 min to separate the 88 supernatant. A WP solution without protease treatment was used as a control. The 89 reaction conditions and enzyme characteristics used for WP hydrolysis are listed in 90 Table S1.

91 Identification of β-LG and α-LA in Whey Protein Hydrolysate Using sodium

#### 92 dodecyl sulfate–polyacrylamide gel electrophoresis (SDS-PAGE)

HWPH (10 mg) was dissolved in 1 mL of sample buffer [0.5 M trihydrochloric acid

94 (HCl), glycerol, 10% SDS, 0.1% bromophenol blue, pH 6.8], heat-treated at 100°C for 5

95 min, centrifuged, and the supernatant separated. A 20 µL of this was run on a 12%

96 polyacrylamide gel at 135 V for approximately 90 min. To confirm the presence of α-

97 LA and  $\beta$ -LG proteins, the gel was stained with Coomassie Brilliant Blue R-250 for 6 h,

98 and then destained using a solution containing 10% methanol and 10% acetic acid until

99 the protein bands were clearly visible.

# 100 Amino-nitrogen (A-N) contents and degree of hydrolysis (DH) Calculation

101 The A-N content of HWPH was measured using the 2,4,6-trinitrobenzensulfonic acid

- 102 (TNBS) method (Nielsen et al., 2001). Briefly, 125  $\mu$ L of the sample, 400  $\mu$ L of 0.212
- 103 M phosphate buffer (pH 8.2), and 0.4 mL of 0.1% TNBS were mixed and incubated in
- 104 the dark at 50°C for 60 min. Next, 0.8 mL of 100 mM HCl was added and left for 20

min. After another 10 min, 0.8 mL of distilled water was added, and the absorbance was
measured at 340 nm. The amount of A-N was calculated using L-leucine as a standard.
The DH of proteins following enzyme treatment was calculated using the following
equation by estimated the A-N content of whey protein hydrolysates (Benjakul and
Morrissey, 1997). The total A-N content was measured by neutralizing WP with sodium
hydroxide (NaOH) after hydrolysis at 100°C for 24 h using 6 N HCl.

111  $DH = (L_t - L_0)/(L_{max} - L_0) \times 100$ 

112 Where Lt is the A-N content after hydrolysis at time t, while  $L_0$  is the A-N content

before hydrolysis, and L<sub>max</sub> is the A-N content after acid hydrolysis with 6 N HCl.

## 114 Establishment of Optimal Mixing Ratio of Alcalase and Prozyme and of Optimal

#### 115 Reaction Conditions Using RSM

116 The RSM was used to select the optimal mixing ratio of Alcalase and Prozyme. A 117 total of 15 combinations were composed of three repetitions of the experiment at 10% 118 substrate, 50°C, pH 7.8, with the mixing ratio of the two enzymes, Alcalase and 119 Prozyme, as an independent variable (Table S2). The optimal mixing ratio of the two 120 enzymes was selected by measuring the A-N of the hydrolysate corresponding to the 121 experimental combination. RSM was used to analyze the experimental results, and the 122 regression equation representing the optimal mixing ratio of Alcalase and Prozyme was 123 as follows: aX + bY + cXY = 32.29 x + 68.87 y + 96.58 xy, where X is Alcalase (%) 124 and Y is Prozyme (%). 125 A total of 39 combinations were composed of three repetitions of the experiment at 126 10% substrate, 50°C, pH 7.8, with the amount of enzyme added and hydrolysis time by 127 the mixed enzyme of Alcalase and Prozyme as independent variables (Table S2). The 128 A-N of the hydrolysate corresponding to the experimental combination was measured to

129 select the optimal enzyme addition amount and hydrolysis time. RSM was used to

130 analyze the experimental results, and the regression equation representing the optimal 131 conditions for the amount of enzyme added and hydrolysis time was as follows: A + aX $+ bY + cX^{2} + dY^{2} + eXY = 29.6286 - 0.9220 X + 4.5520 Y + 0.4706 X^{2} + 8.3643 Y^{2} - 0.0000 X^{2} + 0.00000 X^{2} + 0.0000 X^{2} +$ 132 133 2.0389 XY, where X is the hydrolysis time and Y is the amount of the enzyme added. 134 Amino Acid Composition by High-Performance Liquid Chromatography (HPLC) 135 Analysis 136 Amino acids were derivatized using AccQ-Tag reagent (Waters, Milford, MA, USA) 137 according to the manufacturer's instructions and then analyzed using an HPLC system 138 (Waters). An AccQ-Tag column ( $3.9 \text{ mm} \times 150 \text{ mm}$ , Waters) was used with the 139 following parameters: temperature, 37°C; flow rate of the mobile phase [Water AccQ-140 Tag Eluent A (acetate-phosphate buffer), acetonitrile, and Milli-Q Water], 1.0 mL/min, 141 and a fluorescence detector (250 nm of excitation and 395 nm of emission) was used to 142 analyze the data. 143 The analysis for total amino acids was performed after acid hydrolysis. Briefly, 10 144 mL of 6 N HCl solution was added to 0.5 g of the samples taken in a test tube, which 145 was sealed and hydrolyzed at 110°C for 24 h. The filtrate obtained was centrifuged, and 146 the supernatant concentrated at 50°C to completely evaporate the acid and water, and 147 then diluted to 5 mL using 20 mM HCl (pH 2.2). The dissolved solution was filtered 148 through a 0.45 µm membrane, and the filtrate was used as a sample for HPLC analysis. 149 In vitro Digestibility and Intestinal Permeability Assay

150 In vitro digestibility was determined as described by Garrett et al. Garrett et al. (1999)

using pepsin and pancreatin. After dissolving 1,000 mg of the sample in distilled water,

- 152 the pH was adjusted to 2.0. Pepsin (2.5% of the substrate) was added, reacted at  $37^{\circ}C$
- 153 for 1 h, and the pH was then adjusted to 5.3 with sodium bicarbonate and further
- adjusted to 7.5 with 5 N NaOH. Pancreatin was added at 4% per substrate, reacted at

155 37°C for 2 h, and was then heated at 90°C for 10 min to inactivate the digestive enzyme. 156 A-N (mg) was measured in the supernatant obtained by centrifugation (12,000 rpm, 10 157 min), and digestibility (%) was calculated using the following formula: 158 Digestibility (%) = [A-N in digested sample (mg) - A-N in sample before digestion159 (mg)]/ [Total A-N in sample before digestion (mg) – A-N in sample before digestion 160 (mg) × 100. (Total A-N in sample before digestion: A-N content after acid hydrolysis). 161 A Caco-2 Ready 24-well plate (Komabiotech, Seoul, Republic of Korea) was used 162 for the permeability analysis of WP and HWPH (Van Breemen and Li, 2005). The plate 163 was incubated in a 5% CO<sub>2</sub> incubator (37°C) for 4 h, thawed, and then replaced with 164 Dulbecco's Modified Eagle's Medium containing 1 g/L glucose, 10% fetal bovine 165 serum, and 1% glutamine. The assay was conducted 48 h after the medium was 166 replaced. For the intestinal permeability analysis, WP and HWPH prepared using 167 digestive enzymes (pepsin and pancreatin) were diluted 10-fold with HBSS buffer. 168 After washing the Caco-2 plate with a complete monolayered film three times using 169 HBSS buffer, 250 and 750 µL of the diluted sample and HBSS buffer were dispensed 170 into the insert and receiver plates, respectively. The buffer on the receiver plate was 171 recovered over time (20, 40, 60, and 120 min). After analyzing the protein content of 172 the sample initially distributed in the insert and the sample collected over time using the 173 bicinchoninic acid assay, the permeability of the sample was analyzed using the 174 following formula (Park et al., 2021): Permeability (%) = [Total protein (mg) in the 175 receiver plate]/[Initial protein (mg) added to the insert]  $\times$  100. 176 **Evaluation of Absorption Rate by High-Dose Single Oral Administration** 177 SD rats (6-week-old, male) were purchased from Oriental Bio (Seongnam, Republic 178 of Korea). The animals were housed in a breeding room at  $21 \pm 1$  °C, a relative humidity

179 of 50~55%, and a light-dark cycle of 12 h. Drinking water and feed were provided ad

180 libitum. After acclimation for a week, four SD-rats were randomly assigned per

181 experimental group. WP and HWPH were orally administered at 500 mg/kg, sacrificed

according to the sample administration times (20, 40, 60, and 120 min), and blood was

- 183 collected. Blood samples were collected from the control group at 0 min. Following
- 184 centrifugation (3,000 rpm, 15 min, 4°C), serum was collected to measure the total A-N

185 content. All animal experiments were approved by the Korea University Animal

186 Experiment Ethics Committee (KUIACUC-2022-0095).

# 187 Molecular Weight Distribution of Hydrolysates

188 To measure the molecular weight distribution of the hydrolysate using HPLC, the

sample was filtered (microfilter, 0.45 µm) and 20 µL was injected into a Superdex G-75

190 column ( $10 \times 300$  mm, GE Healthcare, Anaheim, CA, USA). Subsequently, absorbance

191 was measured at 220 nm, and the column was eluted with 50 mM ammonium formate

192 buffer (pH 5.5) (0.5 mL/min). The molecular weight distribution of the hydrolysate was

193 measured according to the molecular weight distribution curve prepared using

194 glutathione (MW 307), aprotinin (MW 6,512), cytochrome c (MW 12,384), enolase

195 (MW 67,000), lactate dehydrogenase (MW 142,000), and glutamate dehydrogenase

196 (MW 290,000) as standard proteins, which were purchased from Sigma-Aldrich.

#### 197 Evaluation of Immunological Responses of Hypoallergenic Hydrolysates

198 A specific pathogen free male BALB/c mice aged 8 weeks were purchased from

199 Daehan Biolink Co., Ltd. (Chungbuk, Republic of Korea) and experimented under

aseptic management (breeding room temperature, 25°C; humidity, 55%; and sterile

201 distilled water supply). Mice were divided into groups administered 250 and 500 mg/kg

- 202 of WP and HWPH, respectively, and a control group that was administered isotonic
- sodium chloride solution. Eight mice were included in each group. Gastric intubation
- 204 was performed five times per week for 4 weeks. Body weight was measured twice a

week at 3-day intervals. After administration, blood was collected and centrifuged at
3,000 rpm for 10 min to separate the serum.

207 After sacrifice, aseptically excised splenocytes for cell culture were dispensed into 24 208 well-culture plates and cultured in a CO<sub>2</sub> incubator for 48 h. Subsequently, phytohemagglutinin (Sigma, 5  $\mu$ g/L  $\times$  10<sup>6</sup> cells) was added as a polyclonal stimulator 209 210 for in vitro activation. The concentration of cytokines (INF- $\gamma$  and IL4), antibodies 211 (IgG2a and IgG1) and IgE in the cell culture medium were measured using an ELISA 212 kit purchased from BD Bioscience (San Diego, CA, USA). For the small intestine 213 cytokines, 2 mL of PBS was added to 0.5 g of duodenum aseptically extracted after 214 sacrifice, vortexed, and left at 4°C for 2 days. Thereafter, the supernatant obtained by 215 centrifugation was analyzed for cytokines and IgE using the ELISA kits. 216 **Statistical Analysis** 217 Data were statistically analyzed using SPSS (ver. 12.0; SPSS Inc., Chicago, IL, 218 USA), and the mean and standard deviation were calculated for all measured values. 219 The significance between the experimental groups was tested by ANOVA, followed by 220 Tukey's multiple range test at p<0.05 level. RSM analysis was performed using Minitab 221 17 software (Minitab Inc., State College, PA, USA) to confirm the suitability of the

model to understand the relationship between the independent and dependent variables.

223

## 224 Results and Discussion

# 225 Selection of Enzyme Combinations for the Preparation of HWPH

226 WP accounts for approximately 20% of the total milk protein.  $\beta$ -LG and  $\alpha$ -LA are the

227 major allergens, and serum albumin, Ig, and LF also act as allergens (Hochwallner et

228 al., 2014).  $\alpha$ -LA and  $\beta$ -LG are more effective in reducing allergic reactions using

229 proteolytic enzymes due to their acid-resistant and heat-resistant structures (Stănciuc

230 and Rapeanu, 2010; Yuan et al., 2018). Proteolytic enzymes under mild conditions 231 convert proteins to shorter chain peptides and amino acids, yielding minimal 232 byproducts. It is documented that enzymatic protein hydrolysis can alter the protein 233 structure without compromising its nutritional value, thereby reducing the functional 234 properties or allergens (Cui et al., 2023; Kheroufi et al., 2022). 235 To select enzymes that could degrade  $\alpha$ -LA and  $\beta$ -LG, endo-type enzymes (Alcalase, 236 Collupulin, and Protamex) and exo-type enzymes (Prozyme) were mixed, and 237 hydrolysis was performed for 8 h. A combination of enzymes in which α-LA and β-LG 238 were degraded was selected by SDS-PAGE. Following electrophoresis, the  $\alpha$ -LA and  $\beta$ -239 LG bands were not seen in the hydrolysate prepared using the mixture of Alcalase and 240 Prozyme, revealing that the two allergens were decomposed (Figure S1). Figure 1 241 shows the A-N and DH in the hydrolysate obtained after treatment with the enzyme 242 mixture. The levels of A-N and DH in the HWPHs obtained from by mixing Alcalase 243 and Prozyme were significantly higher than those in the other hydrolysates. Thus, this 244 mixture was the most suitable enzyme combination for the hydrolysis of  $\alpha$ -LA and  $\beta$ -245 LG. 246 Proteolytic enzymes have different properties depending on the order of hydrolysis 247 and the combination of endo-type enzymes that hydrolyze proteins roughly and exo-248 type enzymes that degrade proteins from the end (Bautista Palomas et al., 1999). 249 Alcalase, a serine protease (endo-type), cleaves the internal chains of proteins and 250 peptides as well as peptide bonds following Glu, Met, Leu, Tyr, Lys, and Gln (Adamson 251 and Reynolds, 1996). Prozymes are fungal exo-proteases from Aspergillus oryzae 252 suitable for producing HWPH (Suh et al., 2017). Among the hydrolysates prepared 253 using exo- and endo-type enzymes,  $\alpha$ -LA and  $\beta$ -LG were not detected in the

hydrolysates prepared using Alcalase and Prozyme, and their A-N content and DH werethe highest (Figures 1 and S1).

#### 256 Mixing Ratio of Alcalase and Prozyme for the Preparation of HWPH

257 According to the 15 combinations recommended by the RSM, hydrolysates were

258 prepared to choose the appropriate mixing ratio of Alcalase and Prozyme for the

259 production of HWPH (Table S2A). DH was calculated by measuring the A-N content of

the hydrolysate (Figure 2). The A-N content and DH of the hydrolysate obtained by

261 mixing Alcalase and Prozyme at 1:1 and 1:3, respectively, were significantly higher

than those of the hydrolysate obtained at other mixing ratios (p<0.05). The regression

263 equation for the A-N content obtained from the multiple regression analysis is as

264 follows: A-N (mg/mL) = 32.29 x + 68.87 y + 96.58 xy [X : Alcalase (%), Y : Prozyme

265 (%), R2 = 97.54%]

As a result of predicting the optimal ratio of an enzyme mixture using RSM, when

267 Alcalase and Prozyme were mixed 1:1, the A-N content was expected to be the highest

at 50.42 mg/mL. The A-N content of the hydrolysate produced by Alcalase and

269 Prozyme mixed in a 1:1 ratio, as predicted by RSM, was 51.52 mg/mL, and the actual

270 measured value (50.42 mg/mL) was close to this predicted value. Thus, for the

271 preparation of HWPH, the combination of Alcalase and Prozyme at 0.5/0.5 or 0.25/0.75

was most appropriate (Figure 2).

273 Hydrolysis conditions such as temperature, pH, and enzyme–substrate ratio also

affect protein hydrolysis (Diniz and Martin, 1997; Vieira et al., 1995). When enzymes

are to be mixed, the mixing ratio plays an important role in determining the

276 hydrolysate's properties. The hydrolysate produced by the mixture of Alcalase and

277 Prozyme at a ratio of 1:1 showed the highest A-N and DH (Figure 2).

#### 278 Optimization of Enzyme Amount and Hydrolysis Time for the Preparation of

## 279 HWPH by Alcalase-Prozyme Mixture

To select the optimal enzyme concentration and the hydrolysis time for HWPH 280 281 production by the Alcalase-Prozyme mixture, the A-N content of the hydrolysate was 282 measured according to the 39 combinations suggested by the RSM (Table S2B). The 283 regression equation for the A-N content obtained from the multiple regression analysis 284 is as follows: A-N (mg/mL) =  $0.471 \text{ X2} + 8.364 \text{ Y2} - 2.039 \text{ XY} - 0.922 \text{ X} + 4.552 \text{ Y} + 4.552 \text{ Y$ 285 29.62 [X: hydrolysis time (h), Y: enzyme addition amount (%), R2 = 96.80%] 286 With an increase in the amount of enzyme and the hydrolysis time, the A-N content 287 also increased (Figure 2). The highest amount of A-N was observed when hydrolysis 288 was performed for 10 h with the addition of 1.0% enzyme compared to the substrate. 289 The expected amount of A-N at the optimal enzyme concentration and hydrolysis time 290 predicted by RSM was 60.00 mg/mL, and the actual measured value of 59.58 mg/mL 291 was close to this, with the R2 value being 0.968. To produce a HWPH by the Alcalase-292 Prozyme mixture, hydrolysis with 1.5% enzyme addition was found to be most suitable 293 compared to the substrate for 8 h. 294 Under the optimal reaction conditions of the WPH to produce low allergens, when

295 WP and allergen-inducing substances  $\alpha$ -LA and  $\beta$ -LG were hydrolyzed as substrates,

the decomposition of these substances was confirmed through gel electrophoresis

297 (Figure 3). The bands for these allergens appeared dark before hydrolysis (0 h), and

298 faded after 4 h of hydrolysis. After an 8 h hydrolysis, almost no bands were visible,

299 confirming that  $\alpha$ -LA and  $\beta$ -LG were decomposed by the mixed enzyme treatment.

300 Amino Acids Composition of HWPH

301 The amino acid composition of HWPH treated with Alcalase and Prozyme enzymes

302 (Table 1) revealed the composition of free amino acids as  $2,089.72 \pm 76.18 \mu$ mole/g and

303 that of total amino acids as  $4,987.21 \pm 93.44 \,\mu$ mole/g. In all, 17 types of free amino 304 acids were detected, with leucine being the most prominent (16.8%, 351.73 ± 4.62

 $\mu$ mole/g), followed by valine (13.8%), lysine (11.2%), and isoleucine (8.7%). As high

levels of these amino acids cause bitterness, the bitterness in the sample was consistentwith their presence.

#### 308 Digestibility and Intestinal Permeability by Caco-2 Cell

309 Changes in A-N content and digestibility were measured when WP and HWPH were

310 treated with the artificial digestive enzymes pepsin and pancreatin (Figures. 4A-B). As

311 the digestion of pepsin and pancreatin progressed, the A-N content and digestibility

tended to increase. WP and HWPH were more hydrolyzed by pancreatin treatment, and

313 the increase in A-N content and digestibility was significantly higher than that by pepsin

314 treatment. The amount of A-N at each stage of the digestive enzyme treatment was

315 significantly higher in HWPH than in WP (Figure 4A). As for the change in

316 digestibility, HWPH, which had a high A-N content before hydrolysis, showed lower

317 digestibility than WP in the pepsin treatment stage but a higher level of digestibility

318 increase than WP in the pancreatin treatment stage was noted (Figure 4B).

319 By measuring the intestinal permeability of Caco-2 cells (Figures 4C-F), it was

320 confirmed that the permeability of HWPH was significantly higher than that of the WP

321 sample at the indicated treatment time points of 20, 40, 60, and 120 min. The intestinal

322 permeability of Caco-2 cells at each stage of treatment with pepsin and pancreatin was

323 also significantly higher in HWPH than in WP. Thus, it was confirmed that the

324 digestibility and intestinal permeability of HWPH determined by Alcalase and Prozyme

325 were higher than those of WP.

326 The size of the peptides constituting the protein also affects the digestibility and

327 absorption. Peptides of  $\leq$  500 Da have higher bioavailability than peptides of  $\geq$  2,000

328 Da (Feng and Betti, 2017; Wang and Li, 2017). Hydrolysates composed of small

329 peptides are absorbed into the bloodstream through the intestinal wall and confer their

330 effects (Chatterjee et al., 2018). WP and HWPH are converted into smaller peptides by

331 pepsin and pancreatin and absorbed through the intestinal mucosa. During *in vitro* 

digestion, pancreatin treatment resulted in higher A-N content and digestibility than

333 pepsin treatment (Figure 4).

#### 334 Evaluation of Absorption Rate of WP and HWPH in Sprague-Dawley (SD) Rats

Changes in the amount of A-N in the blood were measured after the oral

administration of WP and HWPH to SD rats (Figure 5). Twenty min after oral

administration, the highest amount of A-N was observed in the blood, which decreased

338 gradually thereafter. After 20 and 40 min, as compared to WR, the administration of

HWPH resulted in a significantly higher amount of A-N in the blood (p<0.001).

340 HWPH, a hypoallergenic whey protein hydrolysate produced by Alcalase-Prozyme, is

341 composed of smaller peptides than WP and is easily digested and absorbed; therefore,

the amount of A-N in the blood may have been high at the beginning of oral

343 administration.

344 Pancreatin contains endopeptidases (trypsin and chymotrypsin) and exopeptidases

345 (carboxypeptidase) (Andriamihaja et al., 2013), which can hydrolyze WPs into smaller

346 peptides than pepsin. Since  $\beta$ -LG is resistant to digestive enzymes (Battaglin Villas

Boas et al., 2015), HWPH, in which  $\beta$ -LG is decomposed by Alcalase/Prozyme

348 treatment, showed higher digestibility than WP. The measurement of the absorption rate

through Caco-2 cells and animal experiments (Figures 4 and 5) showed that the

350 hydrolysate (HWPH) had better permeability than before hydrolysis (WP). Animal

351 experiments showed a difference in the absorption rate within 40 min of oral

352 administration.

#### 353 Molecular Weight Distribution of HWPH

354 The molecular weight distributions of the WP and HWPH were determined using a 355 Superdex G-75 column (Figure 6). WP was noted to contain several peptides with 356 molecular weights of 18 and 5.8 kDa. In contrast, HWPH produced by Alcalase and 357 Prozyme mainly consists of peptides of 5 kDa or less, and the main peaks were 358 approximately 384 Da and 214 Da, respectively. WP was degraded into small peptides 359 of 2.6, 1.3, and 0.491 kDa when treated with pepsin, and following pancreatin 360 treatment, it was hydrolyzed into peptides with smaller molecular weights than when 361 treated with pepsin (Figures 6B-C). The molecular weight distribution of HWPH was 362 similar after pepsin and pancreatin treatments. Since the HWPH had already been 363 hydrolyzed by the enzyme (Figures 6E-F), the effect of pepsin and pancreatin 364 treatments on the molecular weight distribution seems to be minimal. 365 Allergens can also be identified by their molecular weights. Enzymatic hydrolysis of 366 milk proteins can prevent allergic sensitization by minimizing the number of sensitizing 367 protein epitopes through the decomposition of allergenic sites or reduction in protein 368 size (<1,500 Da) (Crittenden and Bennett, 2005; Fiocchi et al., 2003). WP is mainly 369 composed of 1,800 and 5,800 Da peptides, but Alcalase/Prozyme hydrolysate (HWPH) 370 was composed of short peptides of 384 and 214 Da (Figure 6). Therefore, the peptides 371 constituting HWPH are small in size, which increases the absorption and bioavailability, 372 but will be limited in antibody production due to their size. 373 Effect of HWPH Administration on Cellular Immunity of mice 374 The content and ratio of cytokines (INF- $\gamma$  and IL-4) and antibodies (IgG2a and IgG1)

375 corresponding to Type 1 helper T (Th1) and Type 2 helper T (Th2) effector cells in the

376 blood, spleen cells, and small intestine are closely related to the immune response

377 caused by allergens. INF- $\gamma$  and IL-4 in the blood of mice administered with WP and

378 HWPH tended to marginally increase as compared to mice in the control group, but this

379 difference was not significant (Table 2). Although not statistically significant, the ratio

380 of Th1/Th2 (INF- $\gamma$ /IL-4) also showed an increase with HWPH treatment. The ratio of

381 IgG2a/IgG1 between WP and HWPH was significantly increased by the oral

administration of 250 and 500 mg/kg HWPH.

383 CD4+ helper T (Th) cells play a central role in the immune response and secrete

384 various cytokines that affect the function of B cells and the immune system. Depending

385 on the secreted cytokines, they are divided into Th1 and Th2 effector cells (Herzyk et

al., 2001; Lavigne et al., 1998) which exhibit characteristic cytokine profiles (MacLeod

387 et al., 2008). Cytokines (IFN- $\gamma$ ) and antibodies (IgG2a) secreted from Th1 cells have an

antagonistic action with cytokines (IL-4) and antibodies (IgG1) secreted from Th2 cells.

389 The ratio of INF- $\gamma$ /IL-4 in the splenocytes decreased significantly in the group

administered with 500 mg/kg of WP compared to that in the control group (p<0.01).

391 The ratio of IgG2a/IgG1 (Th1:Th2) was similar or higher in the HWPH-administered

392 groups than in the control group, whereas the WP orally administered group showed a

393 lower ratio, but the difference was not significant. There was a significant difference in

the IgG2a/IgG1 ratios between the HWPH and WP groups.

395 Cytokines and antibodies specific to Th1 and Th2 cells in the small intestine of mice

396 orally administered WP and HWPH were analyzed (Table 2). The IL-4 content in the

397 groups orally administered with WP and HWPH was significantly higher than that in

398 the control group (p<0.05). The ratio of INF- $\gamma$ /IL-4, corresponding to the Th1/Th2 ratio,

tended to increase as the dose of HWPH increased, and the 500 mg/kg oral

400 administration group showed a significantly higher level than the control group

401 (p<0.05). IgG2a levels in the small intestine in the two groups were significantly higher

402 than in the control group. The ratio of IgG2a/IgG1 and the ratio of Th1 to Th2 cells

were significantly higher in the HWPH-administered group than in the control group
(p<0.001). There was a significant difference in the IgG2a/IgG1 ratio between the WP</li>
and HWPH groups in terms of the dose (p<0.001).</li>

406 Abnormalities in the maintenance of Th1 and Th2 cell homeostasis are known to lead

407 to various immunopathological abnormalities. When Th2 is relatively dominant, it

408 promotes decreased defense against microbial infections, such as bacteria and viruses,

409 allergic development, and some autoimmune diseases (Prigent et al., 1995; Umetsu and

410 DeKruyff, 1997), and excessive immune responses (cell-mediated immunity) of Th1

411 cells are associated with auto-immune diseases (Gans et al., 2003; Margalit et al., 2005).

412 As depicted in Table 2, the ratio of IFN- $\gamma$ /IL-4 was higher for HWPH than for WP,

413 indicating that the allergic reaction induced by Th2 was somewhat suppressed. This is

414 due to the fact that IgG2a isotype switching is induced by IFN- $\gamma$ , and isotype switching

415 of IgG1 or IgE is induced by IL-4, which inhibits the function or production of IFN- $\gamma$ 

416 (Kühn et al., 1991; Snapper and Paul, 1987). The IgG2a/IgG1 ratio was higher in the

417 HWPH than in the WP, suggesting that the Th1 response was relatively stimulated

418 rather than the Th2 response, and thus, antibody conversion was induced, leading to a

419 significant suppression of the allergic reaction (Table 2).

420 Oral administration of HWPH increased the levels of Th1 cytokines and antibodies in

421 the blood, spleen, and small intestine, whereas the levels of Th2 cytokines and

422 antibodies were lower than those in the WP group. In addition, the IgE content in the

423 blood, splenocytes, and small intestine was significantly different between the two

424 groups (Figure 7). HWPH, in which a  $\alpha$ -LA and  $\beta$ -LG had been decomposed, had a

425 lower level of allergen-related intracellular immune response than WP, and thus

426 presumed to have a lower allergenic potential due to the hydrolysis of the allergen-

427 inducing substances. The allergic response to WP is representative of type I immune

428	hypersensitivity involving IgE (Martorell-Aragonés et al., 2015). Oral administration of
429	HWPH resulted in a significantly lower IgE production than that of WP (Figure 7).
430	HWPH, a hydrolysate produced by Alcalase and Prozyme, seems to reduce allergic
431	reactions as it hydrolyzes LG and LA, the two allergens in WP.
432	
433	Conclusion
434	The mixing ratio of Alcalase and Prozyme, and the enzyme treatment conditions for
435	HWPH production were optimized using RSM. The mixed treatment of Alcalase and
436	Prozyme effectively degraded $\alpha$ -LA and $\beta$ -LG, the major allergens of WP. Because
437	HWPH is converted into small peptides by enzyme treatment and has a low molecular
438	weight, its digestibility and intestinal permeability are higher than those of WP. In
439	addition, it was confirmed that HWPH suppressed the allergic immune response by
440	stimulating the Th1 response, but not the Th2 response, and by inducing antibody
441	conversion. Thus, HWPH can be expected to be useful in a variety of products with low
442	allergenicity targeting infants, adults, and the elderly.
443	
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# Tables

Contents (µmole/g)	Free amino acids	Total amino acids
Aspartic acid (Asp)	$46.96\pm0.33$	$516.35\pm4.63$
Serine (Ser)	$151.70\pm0.86$	$308.41 \pm 2.31$
Glutamine (Glu)	$169.00 \pm 2.30$	$720.73\pm9.91$
Glycine (Gly)	$25.27\pm0.90$	$182.34\pm3.57$
Histidine (His)	$61.55\pm4.06$	95.91 ± 3.63
Arginine (Arg)	$79.38\pm0.91$	394.24 ± 21.16
Threonine (Thr)	57.53 ± 28.23	$72.17 \pm 13.46$
Alanine (Ala)	$48.65 \pm 35.25$	$288.05\pm5.65$
Proline (Pro)	$100.55 \pm 2.67$	$388.43\pm 6.84$
Cysteine (Cys)	$10.91 \pm 1.14$	$282.89\pm8.71$
Tyrosine (Tyr)	$73.76\pm0.93$	93.46 ± 2.77
Valine (Val)	$288.57\pm6.28$	$396.82\pm4.46$
Methionine (Met)	$66.35\pm0.28$	$78.32\pm2.07$
Lysine (Lys)	234.71 ± 1.66	$308.88 \pm 5.78$
Isoleucine (Ile)	$182.55 \pm 3.47$	$249.27 \pm 5.98$
Leucine (Leu)	$351.73 \pm 4.62$	$469.35 \pm 4.30$
Phenylalanine (Phe)	$140.56 \pm 3.47$	$141.59\pm0.99$
Total	2089.72 ± 76.18	4987.21± 93.44

<b>-7</b> 0	71 1 1 1	<b>A 1</b>	• •	• 1 •	1 11	• 1	• •	1 1 1 4
5/9	Table I	( 'omnosition )	ot amino	acide in	hynogliei	rgenic w	hev nrotein	hydrolycatec
517	I avic I.	Composition	or annno	actus m	II v puanti			II y ul Ul y Salts
							•/	•/ •/

580 Values are mean  $\pm$  standard deviation for each group, n = 3.

Sampla	(ma/ka)			Se	rum		
Sample	(ing/kg)	INF-γ (pg/mL)	IL-4 (pg/mL)	INF-y/IL-4	IgG2a (ng/mL)	IgG1 (ng/mL)	IgG2a/IgG1
CON	[	$8.87 \pm 1.50^{ns}$	$5.30\pm0.21^{ns}$	$1.65\pm0.24^{ns}$	$52.62 \pm 2.18$	$26.32\pm0.65$	$2.01\pm0.13$
WD	250	$8.82\pm2.07$	$7.73\pm0.72$	$1.12\pm0.32$	$136.70 \pm 1.76^{***}$	$69.29 \pm 1.57$	$1.97\pm0.02$
VV F	500	$9.95 \pm 1.71$	$10.53 \pm 2.90$	$1.16\pm0.35$	$103.27 \pm 2.98^{**}$	$500.69 \pm 36.01^{***}$	$0.21 \pm 0.01^{***}$
LWDL	250	$10.70\pm1.89$	$7.64 \pm 1.16$	$1.55 \pm 0.47$	$155.63 \pm 5.22^{***,\#}$	$64.15 \pm 3.33$	$2.43\pm0.12^{\#}$
пугп	500	$11.66\pm0.81$	$8.94 \pm 2.61$	$1.63 \pm 0.33$	339.82 ± 13.33 <sup>***,###</sup>	63.96 ± 3.20 <sup>###</sup>	$5.35 \pm 0.44^{***,\#\#}$
Sampla	(ma/ka)	_		Sp	leen		
Sample	(IIIg/Kg)	INF-γ (μg/g)	IL-4 (µg/g)	INF-y/IL-4	IgG2a (µg/g)	IgG1 (µg/g)	IgG2a/IgG1
CON	[	$45.37\pm0.89$	$2.85\pm0.28$	$16.74 \pm 1.72$	$0.36\pm0.08$	$0.32\pm0.04$	$1.18\pm0.30$
WD	250	$44.30\pm0.85$	$3.68\pm0.28$	$12.37\pm0.87$	$0.40\pm0.05$	$0.82\pm 0.04^{***}$	$0.50\pm0.08$
VV F	500	$45.13\pm0.63$	$4.57 \pm 0.51^{*}$	$10.50 \pm 1.15$	$0.48\pm0.05$	$1.89 \pm 0.08^{***}$	$0.26\pm0.03$
LWDL	250	$44.30\pm0.62$	$3.51\pm0.98$	$13.28 \pm 1.21^{*}$	$0.70 \pm 0.08^{*,\#}$	$0.53 \pm 0.02^{*,\#\#}$	$1.35 \pm 0.16^{*,\#\#}$
пугп	500	$52.19 \pm 0.92^{***,\#\#\#}$	$3.85\pm0.16$	$13.67 \pm 1.71$	$0.77\pm0.08^{**,\#}$	$0.72 \pm 0.01^{***,\###}$	$1.08 \pm 0.12^{\# \# \#}$
Sampla	(ma/ka)			Small	intestine		
Sample	(IIIg/Kg)	INF- $\gamma$ (µg/g)	IL-4 (µg/g)	INF-y/IL-4	IgG2a (µg/g)	IgG1 (µg/g)	IgG2a/IgG1
CON	[	$1.92\pm0.34$	$3.17\pm0.003$	$0.61 \pm 0.11$	$0.28\pm0.01$	$6.08\pm0.25$	$0.05\pm0.002$
WD	250	$1.91\pm0.79$	$3.97 \pm 0.01^{***}$	$0.48\pm0.20$	$0.39 \pm 0.01^{***}$	$11.18 \pm 0.18^{***}$	$0.04\pm0.001$
WP	500	$3.77\pm0.17$	$5.37 \pm 0.02^{***}$	$0.70\pm0.03$	$0.73 \pm 0.03^{***}$	$14.83 \pm 0.13^{***}$	$0.05\pm0.002$
	250	$4.54 \pm 1.04$	$3.54 \pm 0.02^{***,\#\#}$	$1.281\pm0.30$	$0.94 \pm 0.04^{***,\#\#}$	$7.19 \pm 0.06^{*,\#\#}$	$0.13 \pm 0.01^{\# \# }$
HWPH	500	$6.85\pm0.62^*$	$4.58 \pm 0.01^{***,\#\#}$	$1.49 \pm 0.14^{*,\#\#}$	$1.68 \pm 0.03^{***,\#\#}$	$6.81 \pm 0.22^{\#\#}$	$0.25 \pm 0.01^{\# \# }$

581 Table 2. Changes in cytokines and antibodies in the blood, spleen cells and small intestine after oral administration of WP and HWPH

Values are presented as the mean  $\pm$  standard error of the mean (SEM) for each group, n = 8. \*p<0.05, \*\*p<0.01 and \*\*\*p<0.001 indicate

583 significant difference from the control group (CON) based on Tukey's multiple test. #p<0.05, ##p<0.01, and ###p<0.001 indicate significant

584 differences between groups (WP vs. HWPH) at the same concentration by Student's t-test. ns, no significant difference between the groups. WP,

585 whey protein before hydrolysis; HWPH, hypoallergenic whey protein hydrolysate.







- 592 standard deviation for each group, n = 3. Different letters represent significant
- 593 difference at p<0.05 based on Tukey's multiple range test.





596 Fig. 2. A-N content (A, C) and DH (B, D) of whey protein hydrolysate optimized

597 **using response surface methodology (RSM).** (a-b) RSM conditions according to the

598 mixing ratio of Alcalase and Prozyme. Whey protein hydrolysis was performed using a

- 599 mixture of Alcalase and Prozyme in 10% substrate for 8 at 50°C and pH 7.8. (c-d) RSM
- 600 conditions according to the addition amount and reaction time of the mixed enzymes.
- 601 Values are mean  $\pm$  standard deviation for each group, n = 3. Other letters are
- 602 significantly different at p<0.05 by Tukey's multiple range test.



605 Fig. 3. Bands of α-lactalbumin (α-LA) and β-lactoglobulin (β-LG) as seen in gel









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611 whey protein hydrolysate (HWPH) treated with in vitro digestive enzymes. Values
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- 612 are mean  $\pm$  standard deviation for each group, n = 3. Different letters represent
- 613 significant differences at p<0.05 based on Tukey's multiple range test. \*\*\* p<0.001 for
- 614 HWPH as compared to WP. ns, not significant.





616 Fig. 5. A-N changes in serum of Sprague-Dawley (SD) rats following oral

### 617 administration of WP and HWPH before and after hydrolysis of WP with mixed

- 618 enzymes. Values are mean  $\pm$  standard deviation for each group, n = 4. Different letters
- 619 represent significant difference at p<0.05 based on Tukey's multiple range test.
- 620 \*\*\*p<0.001 for HWPH compared to WP. ns, not significant.



622 Fig. 6. Molecular weight distribution of WP (A, B, C) and HWPH (D, E, F). Absorbance was measured at 220 nm while eluting with 50 mM

- 623 ammonium formate buffer (pH 5.5). Glutathione (MW 307), aprotinin (MW 6512), cytochrome c (MW 12,384), enolase (MW 67,000), lactate
- 624 dehydrogenase (MW 142,000), and glutamate dehydrogenase (290,000) were used as molecular weight standard proteins.



# Fig. 7. Changes in immunoglobulin (Ig) E in the blood, spleen cells, and small intestine in mice after oral administration of WP and HWPH. Values are presented as the mean $\pm$ SEM for each group, n = 8. \*p<0.05, \*\*p<0.01, and \*\*\*p<0.001 as compared to the control group based on Tukey's multiple test. #p<0.05, ##p<0.01 and ###p<0.001 between groups of the same concentration using student t-test. ns, no significant difference between the groups.

# **Supplementary Data**



Fig. S1. Gel electrophoresis of whey protein hydrolysis for the analysis of  $\alpha$ -lactalbumin and  $\beta$ -lactoglobulin. Lane 1: hydrolysate prepared by treatment with prozyme and alcalase, Lane 2: hydrolysate prepared by treatment with prozyme and collupulin, Lane 3: hydrolysate prepared by treatment with prozyme and protamex, Lane 4: hydrolysate prepared by treatment with prozyme and flavourzyme. Protein concentration (50, 60, and 70 µg) of samples loaded on gel.

F	<b></b>	G	Optimum	conditions
Enzyme	Main activity	Source	Temp. (°C)	рН
Alcalase® 2.4 L FG	Endo-protease	Bacillus sp.	50	7.8
Collupulin MG	Endo-protease	Carica papaya	50-70	5.0-7.5
Protamex	Broad-spectrum endo-protease	Bacillus sp.	35-60	5.5-7.5
Flavourzyme® 500 MG	Protease (endo & exo)	Aspergillus oryzae	50	5.0-7.0
Prozyme 2000P	exo-peptidase	Aspergillus oryzae	50-60	6.0-9.0

# Table S1. Characteristics of the various proteases

# Table S2. Combination of experimental runs based on RSM. A: mixing ratio of enzymes, B:

Reaction conditions (enzyme addition amount and hydrolysis time) for mixed enzymes

A. Mixing ratio of enzymes						
DUN	Substrate	Alcalase	Prozyme			
KUN	(%)	(%)	(%)			
1		0.5	0.5			
2		1	0			
3		0.5	0.5			
4		0.75	0.25			
5		0.75	0.25			
6		1	0			
7		0.5	0.5			
8	10	0	1			
9		0.25	0.75			
10		0	1			
11		1	0			
12		0.25	0.75			
13		0.25	0.75			
14		0	1			
15		0.75	0.25			

B. Reaction conditions for mixed				
RUN	Substrate	Enzyme	Time (h)	
1	(70)	2	( <b>n</b> )	
2	-	2	6	
3		1	6	
4	-	1.5	4	
5		1	2	
6		1	10	
7		1.5	8	
8		1	2	
9		1.5	8	
10		0.5	6	
11		2	6	
12		1	6	
13		1	6	
14		1	6	
15		1	6	
16		1.5	4	
17		0.5	6	
18		1	6	
19	10	0.75	8	
20		0.75	4	
21		0.5	6	
22		1	6	
23	_	1	10	
24	_	1	6	
25	_	0.75	8	
26	_	1	6	
27		0.75	4	
28	_	1	2	
29	_	1.5	4	
30	_	0.75	4	
31	_	1	6	
32	4	1	6	
33	4	1	6	
34	-	1	6	
35	-	1.5	8	
36	4	1	6	
37		1	10	

38	1	6
39	0.75	8