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Article Title	Investigation of Immunostimulatory Effects of Heat-treated <i>Lactiplantibacillus plantarum</i> LM1004 and its Underlying Molecular Mechanisms
Running Title (within 10 words)	Immunostimulatory Effects of Heat-treated <i>Lactiplantibacillus plantarum</i> LM1004
Author	Won-Young Bae ^{1,*} , Woo-Hyun Jung ¹ , So Lim Shin ¹ , Seulgi Kwon ¹ , Minn Sohn ¹ , and Tae-Rahk Kim ¹
Affiliation	1 Microbiome R&D Center, Lactomason
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ORCID (All authors must have ORCID) https://orcid.org	Won-Young Bae (https://orcid.org/0000-0002-6615-2547) Woo-Hyun Jung (https://orcid.org/0000-0003-2474-1973) So Lim Shin (https://orcid.org/0000-0002-1683-4638) Seulgi Kwon (https://orcid.org/0000-0003-3134-8790) Minn Sohn (https://orcid.org/0000-0001-6278-1795) Tae-Rahk Kim (https://orcid.org/0000-0002-8066-1161)
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CORRESPONDING AUTHOR CONTACT INFORMATION

For the corresponding author (responsible for correspondence, proofreading, and reprints)	Fill in information in each box below
First name, middle initial, last name	Won-Young Bae
Email address – this is where your proofs will be sent	wybae@lactomason.com
Secondary Email address	
Postal address	Microbiome R&D Center, Lactomason, Seoul 06620, Korea
Cell phone number	+82 10-2885-3101

Office phone number	+82 2-2677-0073
Fax number	+82 2-2677-0074

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10
11 **Abstract (within 250 words)**

12 Postbiotics are defined as probiotics inactivated by heat, ultraviolet radiation,
13 sonication, and other physical or chemical stresses. Postbiotics are more stable than
14 probiotics, and these properties are advantageous for food additives and pharmacological
15 agents. This study investigated the immunostimulatory effects of heat-treated
16 *Lactiplantibacillus plantarum* LM1004 (HT-LM1004). Cellular fatty acid composition of
17 *L. plantarum* LM1004 isolated from kimchi was analyzed by GC/MSD system. The nitric
18 oxide (NO) content was estimated using Griess reagent. Immunostimulatory cytokines
19 were evaluated using enzyme-linked immunosorbent assay (ELISA). Relative protein
20 expressions were evaluated by western blotting. Phagocytosis was measured using
21 enzyme-labelled *Escherichia coli* particles. *L. plantarum* LM1004 showed 7 kinds of
22 cellular fatty acids including palmitic acid (C16:0). The HT-LM1004 induced release of
23 NO and upregulated the inducible nitric oxide synthase in RAW 264.7 macrophage cells.
24 Tumor necrosis factor- α (TNF- α) and interleukin-6 (IL-6) levels were also increased
25 compared to control (non-treated macrophages). Furthermore, HT-LM1004 modulated
26 mitogen-activated protein kinase (MAPK) subfamilies including p38 MAPK, ERK1/2,
27 and JNK. Therefore, these immunostimulatory effects were attributed to the production
28 of transcriptional factors, such as nuclear factor kappa B (NF- κ B) and the activator
29 protein 1 family (AP-1). However, HT-LM1004 did not show significant phagocytosis
30 of RAW 264.7 macrophage cells. Overall, HT-LM1004 stimulated MAPK/AP-1 and NF-
31 κ B expression, resulting in the release of NO and cytokines. These results will contribute
32 to the development of diverse types of food and pharmacological products for
33 immunostimulatory agents with postbiotics.

34 **Keywords** *Lactiplantibacillus plantarum*, postbiotics, immunostimulatory effect,
35 nuclear factor kappa B

36

37 **Introduction**

38 Lactic acid bacteria (LAB), regarded as useful probiotics, play a crucial role in
39 fortifying the intestinal barrier against food-borne pathogenic bacteria (Kao et al., 2020;
40 Levit et al., 2019) and modulating intestinal microbiota and immune systems (Levit et al.,
41 2019). LAB have been consumed in various types of foods, including dairy products
42 (Oshiro et al., 2021; Parvarei et al., 2021), fermented fruits and vegetables (Lorn et al.,
43 2021; Oshiro et al., 2021), sourdough (Oshiro et al., 2021), and meat products
44 (Parlindungan et al., 2021). Currently, LAB are used as pharmaceutical agents and not
45 limited to probiotics (Barros et al., 2020). Postbiotics, which are inactivated probiotics
46 (Barros et al., 2020; Parvarei et al., 2021) and their metabolites, have been investigated
47 in a broad spectrum of food and pharmaceutical industries (Barros et al., 2020).

48 Innate immune system operates as the first-line defense in the host (Lee et al., 2020).
49 Myeloid cells (macrophages, monocytes, and neutrophils) are critical components of
50 innate immunity (Mantovani and Netea, 2020). Myeloid cells recognize pathogen-
51 associated molecular patterns (PAMPs) from infectious microbes and danger-associated
52 molecular patterns (DAMPs) from injured tissues caused by Toll-like receptors (TLRs),
53 retinoic acid-inducible gene I (RGI-I)-like receptors (RLRs), nucleotide-binding
54 oligomerization domain (NOD)-like receptor family proteins (NLRs), and absent in
55 melanoma 2 (AIM2), a family of pattern-recognition receptors (PRRs) (Lee et al., 2020).
56 Innate immune system activates macrophages and immediately counteracts to pathogens
57 to provide host defenses against various types of invaders (Geng et al., 2018; Jeong et al.,
58 2019; Lee et al., 2020; Leopold Wager and Wormley, 2014; Liu et al., 2019; Mantovani

59 and Netea, 2020; Netea et al., 2020; Um et al., 2020). Unlike these rapid reactions, the
60 trained immune system involves reprogramming of innate immune cells awakened by
61 exogenous or endogenous stimulations (Netea et al., 2020). For example, monocytes that
62 were first treated with β -glucan lost stimulus within 24 h, and the second challenge by
63 lipopolysaccharides (LPS) showed a burst of tumor necrosis factor- α (TNF- α) and
64 interleukin-6 (IL-6) (Bauer et al., 2018). These well-trained cells undergo epigenetic
65 modifications and have long-term memory effects (Bauer et al., 2018; Netea et al., 2020).

66 *Lactiplantibacillus plantarum* is a facultative heterofermentative *Lactobacillus* species
67 (Liu et al., 2018). The European Food Safety Authority (EFSA) has acknowledged *L.*
68 *plantarum* as a Qualified Presumption of Safety (QPS) and has continuously updated its
69 strains since 2007 (Andreoletti et al., 2008; Liu et al., 2018). *L. plantarum* has been used
70 not only for starter culture in the food industry (Le and Yang, 2018; Liu et al., 2018) but
71 also in pharmacological research owing to its bio-functionalities (Le and Yang, 2018).

72 The aim of this study is investigation of immunostimulatory effects of heat-treated *L.*
73 *plantarum* LM1004 (HT-LM1004). Other studies have been focused on the
74 immunostimulatory effects of probiotics and their metabolites, such as
75 exopolysaccharides, whereas heat-treated probiotics are not of interest. It had been known
76 that heat treatment disrupts the bacterial cell wall and induces release of nucleic acid,
77 peptidoglycan, and teichoic acids, resulting in modulating immune responses by these
78 strain specific bacterial components (Piqué et al., 2019). Our previous study, micronized
79 and heat-treated *L. plantarum* (MHT-LM1004) and HT-LM1004 showed increase of NK
80 cell activity and relative cytokine production in immune-suppressed mice (Jung et al.,
81 2019). However, molecular level mechanisms of immunostimulatory effect of HT-
82 LM1004 were not fully understood. In addition, MHT-LM1004 is defined as similar
83 material due to manufacturing methods and is not suitable for industrial production.

84 Therefore, the immunostimulatory effects of HT-LM1004 via the mitogen-activated
85 protein kinase (MAPK)/Activator protein 1 family (AP-1)/Nuclear factor kappa B (NF-
86 κ B) pathway were investigated in this study.

87

88 **Materials and Methods**

89 **Reagents and chemicals**

90 Lipopolysaccharides from *Escherichia coli* O111:B4 (LPS) and ammonium
91 pyrrolidine dithiocarbamate (APDC) were purchased from Sigma-Aldrich (St. Louis, MO,
92 USA). Thiazolyl Blue tetrazolium bromide (MTT) was obtained from Alfa Aesar
93 (Haverhill, MA, USA). Antibodies against COX-2, phospho-p38 MAPK, p38 MAPK,
94 phospho-ERK1/2, ERK1/2, phospho-JNK, JNK, c-Fos, c-Jun, phospho-I κ B α , I κ B α ,
95 phospho-p65 NF- κ B, p65 NF- κ B, phospho-AMPK, AMPK, phospho-ACC, ACC, and
96 GAPDH were obtained from Cell Signaling Technology (Danvers, MA, USA). The anti-
97 iNOS antibody was obtained from GeneTex (Irvine, CA, USA).

98

99 **Isolation of *Lactiplantibacillus plantarum* LM1004 and complete genome** 100 **sequencing**

101 *Lactiplantibacillus plantarum* LM1004 was isolated from kimchi, Korean traditional
102 fermented food. In brief, 25 g of kimchi was homogenized in 225 mL of phosphate
103 buffered saline (PBS) using a stomacher. After homogenization, sample was diluted in
104 peptone water (0.1%, w/v) and spread on de Man-Rogosa-Sharpe (MRS) agar (for
105 *Lactobacillus*) (BD, Franklin Lakes, NJ, USA), M17 agar (for lactic *Streptococcus* and
106 *Lactococcus*) (MBcell, Seoul, South Korea), and *Bifidobacterium* selective agar
107 (*Bifidobacterium* spp.) (MBcell). The spread agar plates were incubated at 37°C for 48 h.
108 After 48 h, colonies isolated from MRS agar were spread on Bromocresol purple (BCP)

109 agar and yellow colonies on BCP agar further purified in newly prepared MRS agar until
110 single colony. Single and pure colony was enriched in MRS broth for gram-staining and
111 catalase reaction. The isolate was identified gram-positive and catalase-negative strain
112 with rod-type shape. Isolated strain was named LM1004 and identified by 16S rRNA
113 sequencing as *L. plantarum*. *L. plantarum* LM1004 was stored in MRS containing with
114 20% glycerol at -80°C until use (Ngamsomchat et al., 2022).

115 For analysis of complete genome sequencing, genomic DNA (gDNA) of *L. plantarum*
116 LM1004 was extracted by TaKaRa MiniBEST Bacteria Genomic DNA Extraction Kit
117 (Takara Bio, Kusatsu, Japan). The DNA sequencing library was constructed using single
118 molecular real-time (SMRT) sequencing technology (Pacific Biosciences, Menlo Park,
119 CA, USA). *De novo* assembly was performed using Celera Assembler in hierarchical
120 genome assembly process (HGAP) (Macrogen, Seoul, South Korea).

121

122 **Preparation of heat-treated *Lactiplantibacillus plantarum* LM1004**

123 HT-LM1004 was obtained from the Department of Production, Lactomason
124 (Gyeongsangnam-do, South Korea). The cell numbers and morphology were constantly
125 managed by the Quality Management Team (Lactomason). Lyophilized heat-treated cells
126 were assigned the product number 11NTF8 and stored at -20°C until use.

127

128 **Cellular fatty acid composition of heat-treated *Lactiplantibacillus*** 129 ***plantarum* LM1004**

130 Extraction of cellular fatty acid from HT-LM1004 was performed by Bligh and Dyer
131 method with modification (Cheng et al., 2022). Briefly, 200 μL of chloroform/methanol
132 solution (2:1, v/v) and 300 μL of 0.6 M hydrochloric acid solution (in methanol) were
133 added in 20 mg of HT-LM1004. The mixture was shaken for 2 min vigorously and heated

134 at 85°C during 60 min. The extracted lipids were cooled at 25°C for 20 min. Fatty acid
135 methyl esters (FAME) were more extracted by *n*-hexane for 60 to 120 min. The FAME
136 extracted layer (*n*-hexane layer) was transferred into clear vial and stored at -20°C until
137 analysis.

138 Cellular fatty acid analysis was performed by GC/MSD. The GC/MSD system was
139 composed of Agilent 8890 gas chromatography system coupled with a 5977B mass
140 selective detector (MSD) and 7693A automated liquid sampler (Agilent, Santa Clara, CA,
141 USA). An Agilent J&W DB-FastFAME capillary column packed with cyanopropyl (30
142 m × 0.25 mm, 0.25 µm) was employed. Injection port temperature was 250°C in constant
143 flow and 1 µL of sample was injected using the split mode of 20:1. Ultrapure helium gas
144 was used as carrier gas with a flow rate of 1mL/min. The initial oven temperature was
145 retained at 60°C for 1 min, raised from 60°C to 165°C at a rate of 60°C/min, held 1 min
146 at 165°C, raised form 165°C to 230°C at a rate of 5°C/min, and kept for 3 min. The
147 temperature of ion source and transfer line was 230°C, and 250°C, respectively. The mass
148 spectra were obtained on an electron ionization (EI) at 70 eV and recorded *m/z* 40-550 of
149 mass range. Methyl undecanoate was used as internal standard (Liu et al., 2022).

150

151 **Cell culture and treatment**

152 The murine macrophage cells, RAW 264.7 cell lines, were obtained from the Korean
153 Cell Line Bank (KCLB, Seoul, South Korea). The cells were maintained in Dulbecco's
154 modified Eagle's medium (DMEM) supplemented with 10% fetal bovine serum (FBS)
155 and 1% penicillin-streptomycin solution at 37°C in a humidified atmosphere containing
156 5% CO₂. When the cells were grown to 80% confluence, they were gently harvested using
157 a scraper. Harvested cells were seeded in various well plates and incubated for 24 h. After
158 incubation, cells were treated with LPS (10 ng/mL) or HT-LM1004 to measure

159 immunostimulatory and phagocytic effects (Liu et al., 2019). Cells were pre-treated with
160 APDC, an NF- κ B inhibitor, for 1 h before treatment with LPS or HT-LM1004.

161

162 **Cell viability**

163 Macrophage cells were seeded in a 96-well microplate (1×10^5 cells/well) and incubated
164 for 24 h. After incubation, each well was treated with LPS or HT-LM1004 (1×10^7 ,
165 2.5×10^7 , 5×10^7 , and 1×10^8 cells/mL) and further incubated for 24 h. The incubated cells
166 were washed with PBS twice times and fresh DMEM including 0.5 mg/mL of MTT was
167 used to replace any lost medium (Um et al., 2020). The absorbance of MTT formazan
168 which viable cell converted was evaluated at 570 nm using a microplate reader
169 (SpectraMax iD3, Molecular Devices, San Jose, CA, USA). Cell viability was calculated
170 as follows:

$$171 \quad \text{Cell viability (\%)} = \frac{A_{\text{sample}}}{A_{\text{control}}} \times 100$$

172 where A_{sample} is the absorbance of LPS or HT-LM1004 treated cells and A_{control} is the
173 absorbance of non-treated cells (negative control).

174

175 **Nitric oxide production**

176 The nitric oxide (NO) content was measured using Griess reagent (Geng et al., 2018).
177 Briefly, RAW 264.7 macrophage cells (1×10^5 cells/well) were treated with LPS (positive
178 control) or HT-LM1004 for 24 h. After 24 h, cell-free supernatants were collected, and
179 added Griess reagent (Promega, Madison, WI, USA) for measuring NO contents
180 according to the manufacturer's guidelines.

181

182 **Cytokine production**

183 The release of immunostimulatory cytokines (TNF- α and IL-6) was measured using an

184 enzyme-linked immunosorbent assay (ELISA) (Bo et al., 2019; Liu et al., 2019). Cell
185 culture and sample treatments were prepared as described in cell culture and treatment.
186 Cell-free supernatants were collected by centrifugation at 1,000 ×g for 20 min at 4°C. All
187 immunostimulatory cytokines were analyzed according to the manufacturer's guidelines
188 (Invitrogen, Waltham, MA, USA).

189

190 ***In vitro* phagocytosis**

191 The phagocytic effect of HT-LM1004 treated RAW 264.7 macrophage cells was
192 evaluated using enzyme-labeled *Escherichia coli* particles (CytoSelect™ 96-Well
193 Phagocytosis Assay, Cell Biolabs, San Diego, CA, USA) (Jeong et al., 2019). Relative
194 phagocytic effects were measured by enzyme-substrate reactions, according to the
195 manufacturer's guidelines.

196

197 **Western blot analysis**

198 The HT-LM1004 treated RAW 264.7 macrophage cells were lysed by RIPA lysis
199 buffer (containing 50 mM Tris-HCl, 150 mM NaCl, 1% Triton-X, 1% sodium
200 deoxycholate, 0.1% SDS and 2 mM EDTA) with protease and phosphatase inhibitor
201 cocktail (Thermo Fisher Scientific, Waltham, MA, USA). The lysed cells were
202 centrifuged at 13,000 ×g for 20 min at 4°C. The supernatants were collected, and protein
203 content was measured using the Pierce™ BCA Protein Assay Kit (Thermo Fisher
204 Scientific). The extracted proteins were stored at 4°C until further use.

205 Proteins were separated by capillary western blot analysis (Khan et al., 2021) or sodium
206 dodecyl sulfate-polyacrylamide gel electrophoresis (SDS-PAGE). For capillary western
207 blotting, proteins were diluted to 0.8 mg/mL and separated by a 12-230 kDa capillary
208 cartridge (ProteinSimple, San Jose, CA, USA) according to the manufacturer's guidelines.

209 Protein separation and immunodetection were conducted using JESS, an automated
210 western blotting system (ProteinSimple).

211 For SDS-PAGE, proteins (30 µg) were separated to 8 or 10% of SDS-PAGE gel and
212 transferred onto polyvinylidene fluoride membranes. Membranes were blocked with 3.75%
213 skim milk for 1 h, followed by incubation with primary antibodies at 4°C for 24 to 48 h.
214 After incubation, the membranes were washed with Tris-buffered saline containing
215 Tween 20 (TBS-T) and incubated with secondary antibodies at 25°C for 2 h. The blots
216 were visualized using ECL detection reagent (Advansta, San Jose, CA, USA). The
217 intensity of the bands was analyzed using ImageJ software.

218

219 **Statistical analysis**

220 Statistical analyses were performed using SPSS Statistics version 18 software (IBM,
221 Armonk, NY, USA). HT-LM1004 treated groups were compared with the negative
222 control (non-treated group). The mean values were analyzed using a t-test at $p < 0.05$.

223

224 **Results**

225 **Complete genome sequencing of *L. plantarum* LM1004 and cellular fatty** 226 **acid composition of HT-LM1004**

227 The whole genome characteristics of *L. plantarum* LM1004 are shown in Fig. 1A. The
228 size of entire gene sequence of *L. plantarum* LM 1004 was 3,198,690 bp, single and
229 circular chromosome with 44.59% of GC content. A total of 3001 protein-coding
230 sequences (CDS) were identified in *L. plantarum* LM1004. The chromosome include 16
231 rRNA and 68 tRNA. The complete genome sequence of *L. plantarum* LM1004 has been
232 deposited in the National Center for Biotechnology Information GenBank database under
233 the accession number CP025988.

234 Cellular fatty acid composition of HT-LM1004 are shown in Fig. 1B and 1C. Total 7
235 kinds of fatty acid were observed in HT-LM1004. Lactobacillic acid (cycC19:0) and
236 palmitic acid (C16:0) were investigated most abundant cellular fatty acid in HT-LM1004.
237 The proportion of saturated fatty acid (SFA), unsaturated fatty acid (USFA) and cyclic
238 fatty acid (CFA) in HT-LM1004 were measured 41.42%, 20.03, and 38.55%, respectively.

239

240 **NO production and inducible nitric oxide synthase (iNOS) expression**

241 Prior to measuring the immunostimulatory effects of HT-LM1004, cell viability was
242 investigated using MTT formazan. Cytotoxicity was not shown in HT-LM1004 or LPS
243 treated (positive control) macrophage cells (Fig. 2A). The NO content and iNOS
244 expression are shown in Fig. 2B and 2C. The HT-LM1004 (1×10^7 , 2.5×10^7 , 5×10^7 , and
245 1×10^8 cells/mL) treated RAW 264.7 macrophage cells released 3.05, 7.55, 12.55, and
246 16.32 μM of NO, respectively ($p < 0.01$). The relative expression of iNOS increased 6.59-,
247 14.24-, 17.14-, and 19.86-fold compared to non-treated RAW 264.7 macrophages
248 (negative control) ($p < 0.01$).

249

250 **Immunostimulatory cytokines and COX-2 expression**

251 The release of immunostimulatory cytokines (TNF- α and IL-6) and relative protein
252 expression of COX-2 are shown in Fig. 3. HT-LM1004 increased TNF- α secretion from
253 205.52 (negative control) to 1530.11, 1925.27, 3445.44, and 3906.01 pg/mL ($p < 0.01$). In
254 addition, HT-LM1004 treated RAW 264.7 macrophages released 254.36, 302.66, 394.29,
255 and 651.93 pg/mL of the immunostimulatory cytokine IL-6 ($p < 0.01$). The relative protein
256 ex-pression of COX-2 was up-regulated in HT-LM1004 treated RAW 264.7 macrophage
257 cells. COX-2 expression increased to 25.25-fold at 1×10^8 cells/mL of HT-LM1004
258 treated RAW 264.7 macrophage cells ($p < 0.001$) (Fig. 3C).

259

260 **Modulation of MAPK and transcriptional factor**

261 Fig. 4 and 5 present changes in MAPK and transcription factor in HT-LM1004 treated
262 RAW 264.7 macrophage cells. HT-LM1004 treated RAW 264.7 macrophage cells were
263 used to investigate the phosphorylation of MAPK sub-families (p38, ERK1/2, and JNK).
264 Briefly, phosphorylation of p38 MAPK, ERK1/2, and JNK increased to 4.96-, 5.52-, and
265 2.98-fold at 1×10^8 cells/mL of HT-LM1004 treated macrophage cells ($p < 0.05$). Moreover,
266 phosphorylation of I κ B α and activation of NF- κ B p65 translocation were observed in HT-
267 LM1004 treated cells (Fig. 5) ($p < 0.01$). Other transcription factors (c-Fos and c-Jun) also
268 increased protein expression ($p < 0.05$).

269

270 **Modulation of NO level and iNOS expression in pharmacological inhibitor** 271 **treated cells**

272 APDC, a pharmacological NF- κ B inhibitor, prevents iNOS expression and NO pro-
273 duction (Dong et al., 2015). In the current study, the immunostimulatory effects of HT-
274 LM1004 were investigated by the upregulation of iNOS and the release of NO in APDC-
275 treated RAW 264.7 macrophage cells. The APDC-treated cells inhibited the release of
276 NO (3.81 μ M) though HT-LM1004 treated cells produced 4.46 and 7.31 μ M of NO at
277 5×10^7 and 1×10^8 cells/mL, respectively ($p < 0.01$). The APDC and HT-LM1004 co-treated
278 cells also showed an over-expressed iNOS level comparing to non-treated RAW 264.7
279 macrophage cells ($p < 0.001$) (Fig. 6).

280

281 **Phagocytosis**

282 The phagocytic effect of HT-LM1004 treated cells is shown in Fig. 7. The 1×10^7
283 cells/mL of HT-LM1004 treatment increased phagocytosis of macrophage cells

284 (123.18%), but no significant differences were detected. Phosphorylation of AMPK and
285 ACC did not significantly change in the HT-LM1004 treated macrophages.

286

287 Discussion

288 The interactions between LAB and the host immune system have not been clearly
289 reported, but many researchers have suggested that PRRs recognize LAB cell wall-
290 derived molecules as PAMPs (Ren et al., 2020). Lipoteichoic acid (LTA), the most
291 representative cell wall-derived PAMP in gram-positive bacteria, is an important ligand
292 for innate immune responses (Friedrich et al., 2022; Jung et al., 2022; Kang et al., 2011;
293 Ren et al., 2020). LTA is an amphiphilic molecule with both a hydrophilic polysaccharide
294 moiety and a hydrophobic glycolipid region (Kang et al., 2011). In general, LTA interacts
295 with TLR2, which is associated with myeloid differentiation primary response 88
296 (MyD88), interleukin-1 receptor (IL-1R)-associated kinases (IRAKs), and TNF receptor-
297 associated factor 6 (TRAF6) (Jung et al., 2022). These TLR2-MyD88 dependent
298 signaling pathways upregulate release of immunostimulatory cytokines and chemokines
299 (Kang et al., 2011). The immunogenicity of LTA depends on its structural diversity in
300 accordance with the genus and species levels (Friedrich et al., 2022). Ryu et al. (2009)
301 reported that LTA isolated from three different gram-positive bacteria (*Staphylococcus*
302 *aureus*, *Bacillus subtilis*, *L. plantarum*) showed relative differences in NF- κ B
303 translocation and TNF- α secretion. Moreover, Jung et al. (2022) reported differential
304 immunostimulatory effects of LTA isolated from four different strains of *L. plantarum*
305 and analyzed differences in glycolipid composition. Considering the immunogenicity of
306 LTA, heat-treated LAB also showed immunomodulatory effects of LTA. In the current
307 study, HT-LM1004 induced the release of immunostimulatory cytokines (TNF- α and IL-
308 6) (Fig. 3) and translocation of NF- κ B (Fig. 5). These results were also observed in other

309 heat-treated *L. plantarum* species (Choi et al., 2018; Jeong et al., 2019; Moon et al., 2019).
310 In addition, Kim et al. (2018) reported that heat-treated LAB contributed
311 immunomodulatory food additives and prolonged the shelf life.

312 NO is synthesized in various cells for neurotransmission, vascular function, host
313 defense, and immune regulation (Xue et al., 2018). Nitric oxide synthases (NOS) are
314 classified into three subtypes: neuronal nitric oxide synthase, endothelial nitric oxide
315 synthase, and iNOS. In particular, iNOS is mainly expressed in immune-stimulated cells
316 by cytokines and inflammatory molecules (PAMPs) such as LPS and LTA (Kang et al.,
317 2011; Xue et al., 2018). NO plays a critical role in the regulation of M1 macrophage
318 polarization. M1 macrophages are able to respond to pro-inflammatory responses and
319 produce cytokines such as TNF- α , IL-6, and IL-12 for host defense (Yunna et al., 2020).
320 Additionally, NO generated by iNOS expression in M1 macrophages directly defends
321 against pathogens (Xue et al., 2018). HT-LM1004 induced release of NO levels and
322 expressed iNOS in RAW 264.7 macrophage cells (Fig. 2). HT-LM1004 also affected the
323 release of immunostimulatory cytokines (Fig. 3).

324 NF- κ B signaling is crucial in physiological processes. NF- κ B transcription factors are
325 involved in cellular transformation and proliferation, apoptosis, angiogenesis, metastasis,
326 and activation of the immune system (Aggarwal, 2004). In the immune system, the NF-
327 κ B transcription factor is involved in inflammatory responses to microbes and viruses by
328 innate immune cells and the development of adaptive immune cells in secondary
329 lymphoid organs (Dorrington and Fraser, 2019). I κ B α degradation and phosphorylation
330 activates the NF- κ B transcription factor (p65) from the cytoplasm to the nucleus (Geng
331 et al., 2018). The translocation of NF- κ B mediates the transcription of
332 immunostimulatory molecules and cytokines, including iNOS, COX-2, TNF- α , IL-2, IL-
333 6, and IL-12 (Geng et al., 2018; Moon et al., 2019; Yang et al., 2019). TNF- α , IL-2, and

334 IL-12 contribute to the activation of natural killer (NK) cells, which play a crucial role in
335 the host defense system against pathogens and transformed cells (Lauwerys et al., 2000;
336 Moon et al., 2019). These cytokines promote cytotoxicity of NK cells and
337 immunomodulatory effects of NK cells in the innate and adaptive immune systems
338 (Lauwerys et al., 2000). In addition, Sharma and Das (2018) reported that IL-2 mediates
339 the proliferation of NK cells.

340 The MAPK cascade promotes the transcription of transcriptional factors, such as NF-
341 κ B and activator protein 1 (AP-1) (Geng et al., 2018; Liu et al., 2019; Yang et al., 2019).
342 AP-1 consists of four subfamilies: Jun, Fos, ATF-activating transcription factor protein
343 families and musculoaponeurotic fibrosarcoma. AP-1 in immune system has been
344 reported to play a role in Th differentiation, T-cell activation, and T-cell anergy (Atsaves
345 et al., 2019). Activation of the MAPK pathway via a cascade of phosphorylation events
346 on serine/threonine residues coordinates downstream of AP-1 and NF- κ B (Atsaves et al.,
347 2019; Geng et al., 2018). Thus, MAPK activation by HT-LM1004 plays a central role in
348 the innate immune system.

349 Phagocytosis is occurred in three classes of phagocytic cells in immune system such as
350 monocytes/macrophages, neutrophilic granulocytes and dendritic cells (Schumann, 2016).
351 Macrophages act as scavenger of pathogens, dead cells, and debris. When macrophages
352 engulf pathogens, phagosomes are fused with lysosomes which result in phagolysosomes
353 and toxic peroxides for digesting the pathogens (Jeong et al., 2014; Schumann, 2016).
354 Fatty acids can influence modulating of immune response of macrophages including
355 phagocytosis and cytokine productions (Schumann, 2016). Calder et al. (1990) reported
356 SFA such palmitic acid result in decrease of phagocytosis of macrophages. On the other
357 hand, USFA increased phagocytosis of macrophages except oleic acid (C18:1). However,
358 palmitic acid activate TLR-MyD88 dependent NF- κ B activation and production of

359 immunostimulatory cytokines (Korbecki and Bajdak-Rusinek, 2019). In current study,
360 the contents of SFA were measured 2-times higher than USFA in cellular fatty acid of
361 HT-LM1004. Palmitic acid is a most abundant fatty acid except for lactobacillic acid
362 which is CFA (Fig. 1B and 1C). The 1×10^7 cell/mL of HT-LM1004 treated cells showed
363 highest phagocytosis effect (123.18%) while 1×10^8 cell/mL treated macrophage cells
364 decreased to 116.69% (Fig. 7). However, palmitic acid derived from cellular membrane
365 of HT-LM1004 induced immunostimulatory effects by activation of NF- κ B (Fig. 5 and
366 6).

367

368 **Conclusion**

369 In the present study, the immunostimulatory potency of HT-LM1004 was investigated
370 at various stages of innate immunity. HT-LM1004 stimulated the MAPK pathway and
371 regulated transcription factors, such as AP-1 (c-Fos and c-Jun) and NF- κ B p65. These
372 transcription factors induce secretion of NO, TNF- α , and IL-6 to enhance the immune
373 system. Heat-treated LAB lost their probiotic properties, but HT-LM1004 showed
374 immunostimulatory effects as a postbiotic. These results suggest HT-LM1004 as an
375 immunostimulatory agent, food additive, and therapeutic agent.

376

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512

513 **Tables and Figures**

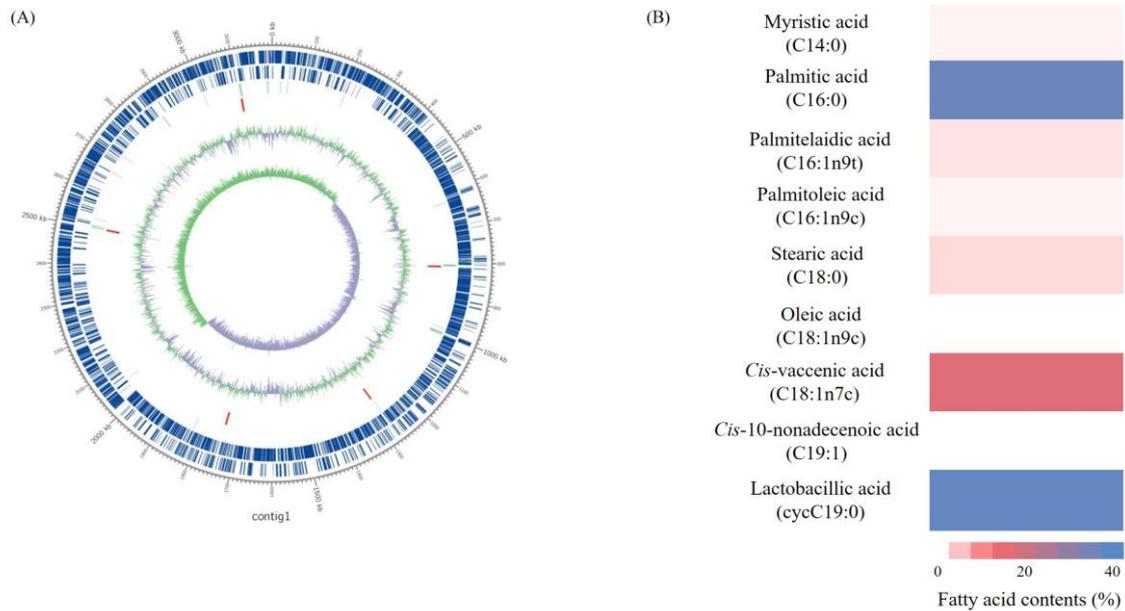
514 Tables and Figures can be placed in separate files.

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517 **Figure Legends**



518

519 **Fig. 1. Circular genome map of *Lactiplantibacillus* LM1004 and cellular**

520 **membrane fatty acid analysis of heat-treated *Lactiplantibacillus plantarum***

521 **LM1004.** (A) Circular genome map of *Lactiplantibacillus plantarum* LM1004.

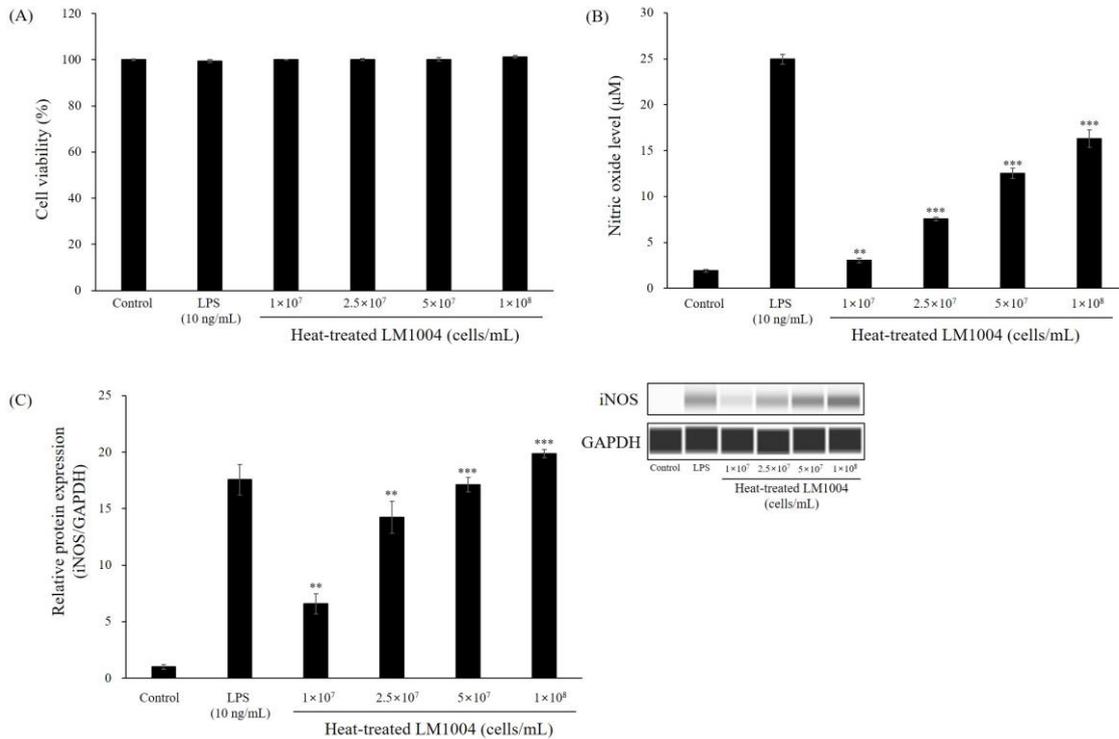
522 Each circle from outside to inside indicates protein-coding sequences (CDS) on

523 forward strand, CDS on reverse strand, tRNA, rRNA, GC content, and GC skew.

524 (B) cellular fatty acid composition of heat-treated *Lactiplantibacillus plantarum*

525 LM1004.

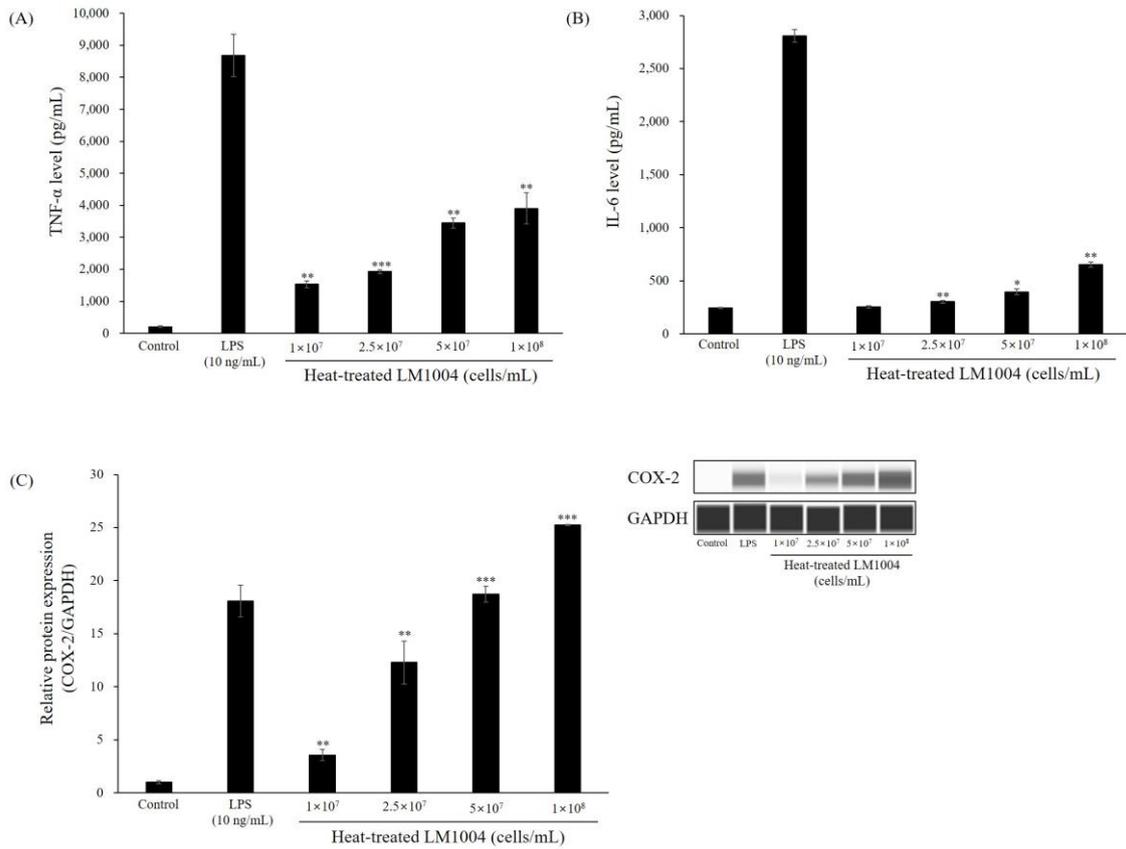
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528 **Fig. 2. Nitric oxide production and relative protein expression of iNOS in**
 529 **heat-treated *Lactiplantibacillus plantarum* LM1004 treated RAW 264.7**
 530 **macrophage cells. (A) cell viability of *Lactiplantibacillus plantarum* LM1004**
 531 **treated RAW 264.7 macrophage cells, (B) release of nitric oxide in**
 532 ***Lactiplantibacillus plantarum* LM1004 treated RAW 264.7 macrophage cells, (c)**
 533 **relative protein expression in *Lactiplantibacillus plantarum* LM1004 treated RAW**
 534 **macrophage 264.7 cells. Data are shown as the means±standard deviations of**
 535 **three independent experiments. **p<0.01 and ***p<0.001, compared to the**
 536 **control.**

537



538

539 **Fig. 3. Cytokine production and COX-2 level in heat-treated**

540 ***Lactiplantibacillus plantarum* LM1004 treated RAW 264.7 macrophage cells.**

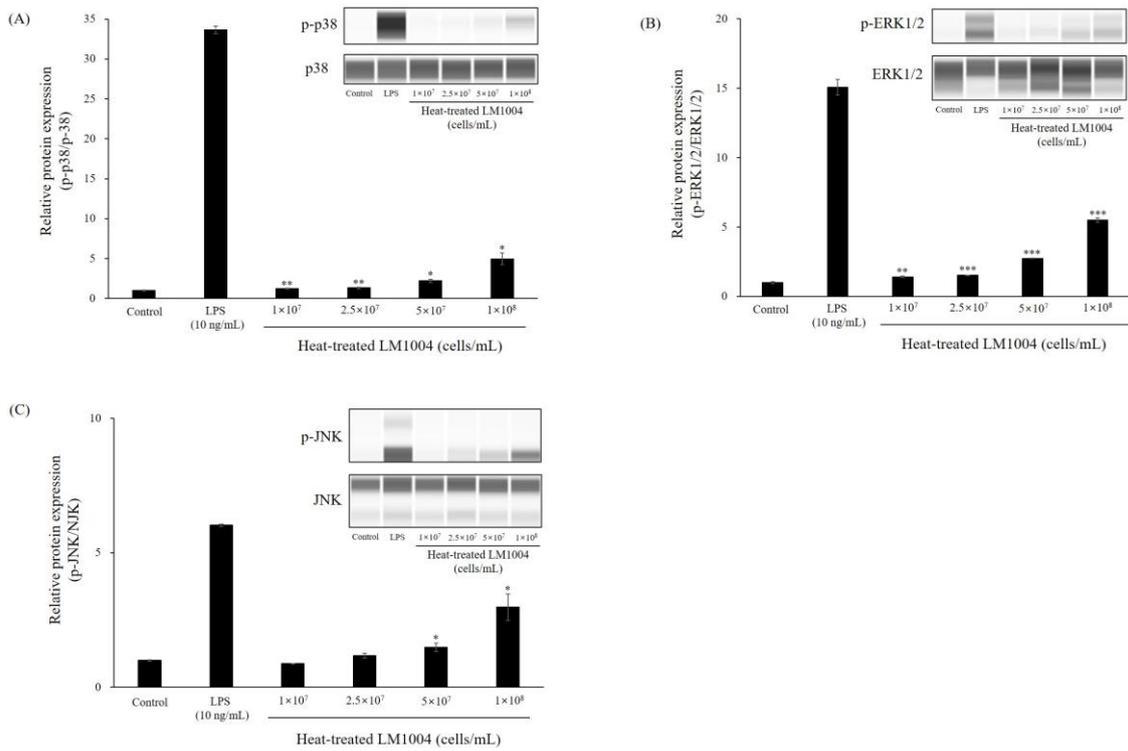
541 (A and B) concentration of TNF-α and IL-6; (C) COX-2 expression in

542 *Lactiplantibacillus plantarum* LM1004 treated RAW 264.7 macrophage cells.

543 Data are shown as the means±standard deviations of three independent

544 experiments. *p<0.05, **p<0.01, and ***p<0.001, compared to the control.

545



546

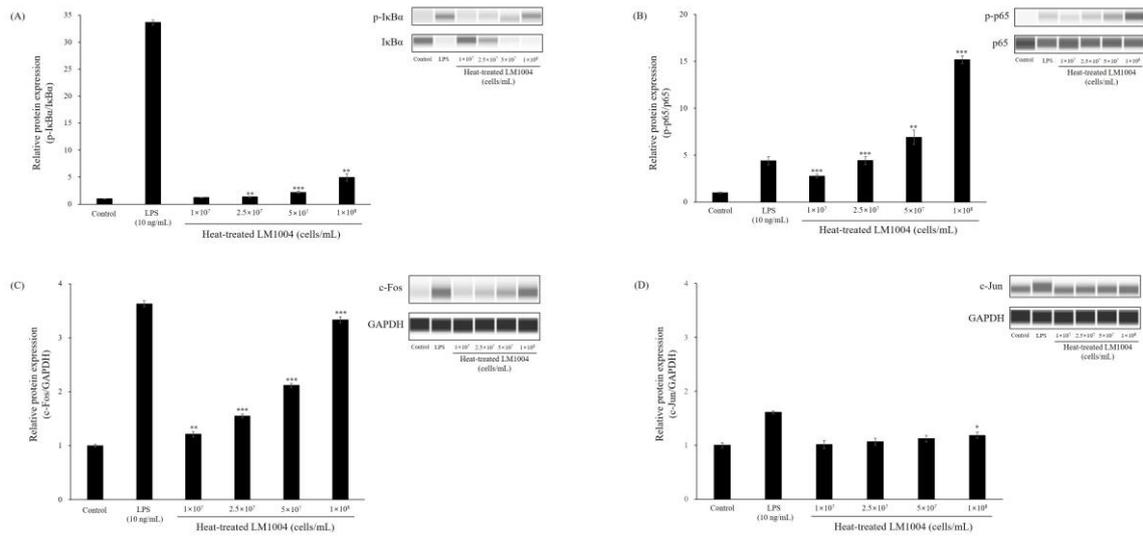
547 **Fig. 4. MAPK activation by heat-treated *Lactiplantibacillus plantarum***

548 **LM1004.** Data are shown as the means±standard deviations of three

549 independent experiments. *p<0.05, **p<0.01, and ***p<0.001, compared to the

550 control.

551



552

553 **Fig. 5.** Change of transcription factor protein level in heat-treated

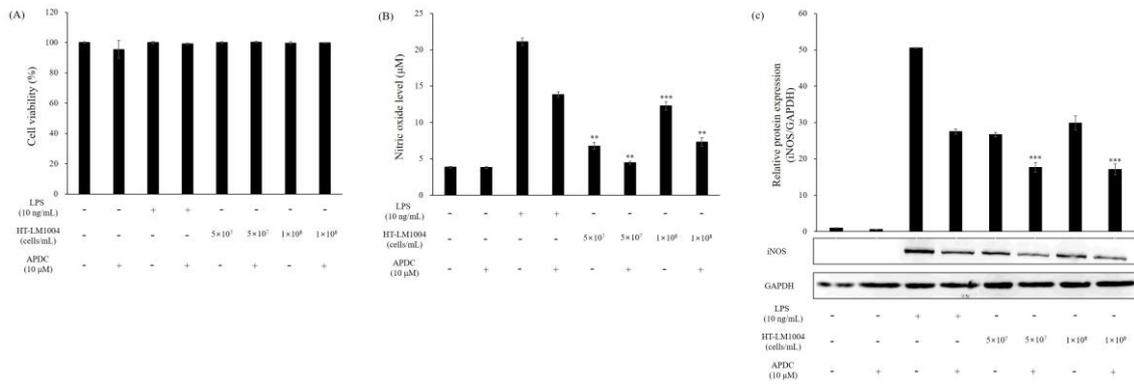
554 *Lactiplantibacillus plantarum* LM1004 treated RAW 264.7 macrophage cells.

555 Data are shown as the means±standard deviations of three independent

556 experiments. *p<0.05, **p<0.01, and ***p<0.001, compared to the control.

557

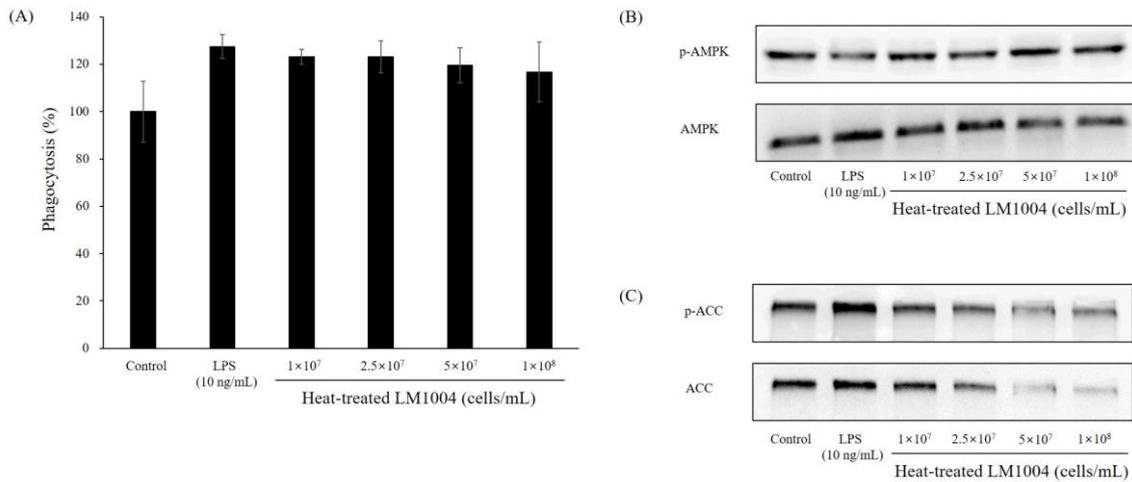
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558

559 **Fig. 6. Modulation of nitric oxide and iNOS protein expression level in ADPC**
 560 **treated RAW 264.7 macrophage cells. ADPC inhibited NF-κB as**
 561 **pharmacological inhibitor. (A) cell viability of RAW 264.7 macrophage cells, (B)**
 562 **production of nitric oxide in NF-κB inhibited cells by heat-treated**
 563 ***Lactiplantibacillus plantarum* LM1004, (C) overexpression of iNOS protein level**
 564 **in NF-κB inhibited cells by heat-treated *Lactiplantibacillus plantarum* LM1004.**
 565 Data are shown as the means±standard deviations of three independent
 566 experiments. **p<0.01 and ***p<0.001, compared to the control (non-treated
 567 RAW 264.7 macrophage cells).

568



569

570 **Fig. 7. Phagocytosis of heat-treated *Lactiplantibacillus plantarum* LM1004**

571 **treated RAW 264.7 macro-phage cells. (A) phagocytosis effect of heat-treated**

572 ***Lactiplantibacillus plantarum* LM1004 treated RAW 264.7 macrophage cells, (B**

573 **and C) AMPK and ACC protein expression level in heat-treated *Lactiplantibacillus***

574 ***plantarum* LM1004 treated RAW 264.7 macrophage cells. Data are shown as the**

575 **means±standard deviations of three independent experiments.**

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